**Annex II:**

**Application Form**

**2016 Guangzhou International Award for Urban Innovation**

**Please complete the following application form and return it to the Guangzhou Award Secretariat at** [**info@guangzhouaward.org**](mailto:info@guangzhouaward.org) **by 31 August, 2016.**

1. **Profile of the Initiative**

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| Name of City/Community: |  | |
| Name of Province/State: |  | |
| Name of Country/Region: |  | |
| Geographic Region (Please select and tick one): | Africa (Sub-Saharan) |  |
| Asia and Pacific |  |
| East and Central Europe |  |
| Western Europe |  |
| North Africa and Middle East |  |
| North America |  |
| Latin America & Caribbean |  |
| **Title, name and position of person(s) submitting:** | | |
| 1. | | |
| 2. | | |
| 3. | | |
| **Basic City Data (please use data from the UN Statistical Office):** | | |
| Population size: | | |
| Surface Area: | | |
| Population Density: | | |
| GDP per capita: | | |
| GINI Index: | | |
| Other: (please specify) | | |
| **URL/Webpage of your city and your initiative:** | | |

1. **Title and Abstract**

For a large integrated initiative, please consider submitting up to three initiatives under the same title. For example, you may wish to submit under “Low-carbon urban development for My City” an initiative on public transport, an initiative on energy efficiency in buildings, and an initiative on use of renewable energy.

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| **Title or Tagline of the initiative (25 words max.):**  Sub-Title: (optional in the case of submitting separate initiatives under the same title.): |
| Start date of the initiative:  (Please note that your initiative should have started no later than 2014) |
| Tentative end date of the initiative (if not yet completed): |
| Thematic areas (please tick as appropriate):   * + Social   + Economic   + Environmental   + Governance/Management   + Technology   + Other (please specify): |
| Abstract/Short description of the innovative initiative being submitted for Award (150 words max): |

1. **Background Information**

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| **Describe the legislative or policy framework under which the initiative is taking place, for example, a public policy document at the central or local government level, a policy statement, a covenant, a compact or internationally recognized agreement including, for example, the Sustainable Development Goals, the Sendai Framework for Disaster Risk Reduction and the outcomes of COP21, etc. (80 words max)** |

1. **Summary of the origins of the initiative:**

Describe how the initiative came about and what challenges or issues of sustainable development it is meant to address using the following lead questions as your guide. (350 words max)

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| 1. **Describe briefly the reason(s) for undertaking the initiative including social, economic, political or cultural challenge(s) or issues confronting the city/region/community. Include where relevant number of people, enterprises or institutions affected.** |
| 2. Describe the goals of the initiative in terms of desired change or outcome and timeframe for achieving the change or outcome (for example, change in policy, strategy, business model, technology, means of implementation, financing arrangements, human development and empowerment, measuring and evaluating progress and impact, etc.). |
| 3. Describe whether the innovation involves any partnership (public-public, public-private, public-community, etc.) and if yes, who is or was the leading partner(s), the role they each played and whether other parties have benefited or are benefiting from your innovation and how? |
| 4. Describe the resources used for implementing the initiative, including funding/financing strategy or arrangements and any significant contributions that are not in cash, for example, in human, technical or managerial resources. |

**E. Summary of the innovative aspect(s) of the initiative:**

**Describe the innovation for the initiative using the following lead questions as a guide. (350 words max)**

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| 1. Describe whether the initiative should be considered evolutionary or revolutionary. *Evolutionary* would imply that innovation evolved over time based on lessons learned from experience and that the changes or outcomes are cumulative. *Revolutionary* would imply something quite new or something that has been borrowed from elsewhere but never been tried before in the sector or context in question and therefore bears a certain degree of risk. |
| 2. Describe whether the innovation was inspired or borrowed from other experiences and what the linkage with the other preceding experiences is. |
| 3. Describe where the innovation is being applied, for example, in policy or strategy; planning, design and implementation; business model or financing arrangement; tools and technology; governance and administration; etc. |
| 4. Describe whether there was or are any obstacles or resistance to the innovation and if so, how were/are they being overcome. |

**F. Summary of the desired change or outcome and how it is being measured**

**Describe how the initiative, and in particular the innovation, is or will be making a qualitative and/or quantitative change and how that change or outcome is being measured. (350 words max)**

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| 1. What change(s) or outcome have you already achieved or hope to achieve? Please describe the nature of the change or outcome in, for example, improved quality of life; new levels of social, economic or environmental sustainability, including new attitudes and behavior; improved efficiency, effectiveness, accountability or transparency, etc. Please describe the scale of the change, for example, primarily local, regional, national or global and where possible, please quantify the changes or outcome being achieved. |
| 2. What indicators or metrics are you using to assess the change? Describe who is doing the measuring, and who is using the measurements and how. |
| 3. Describe any specific tools or methods that were developed or applied to improve the chances to attain the desired outcome or change, for example, new working methods, new data and information, new incentives or disincentives, new means of communications and knowledge, new technology, etc. |
| 4. How does the initiative and its intended change(s) or outcomes contribute to a new image or reputation for your city or community? |

**G. Detailed description of the initiative and innovation**

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| Choose any of the above headings or a particular aspect of the initiative that you wish to highlight and that you think best describes the reasons why the innovative aspect(s) of the initiative could be of benefit to improving social, economic and environmental sustainability in cities and regions and, in so doing, to advance the prosperity and quality of life of their citizens. (400 words max) |

**H.** Relevance of the initiative to the 2030 Agenda for Sustainable Development, commonly referred to as the “Sustainable Development Goals”.

Please tick the most appropriate boxes and provide a brief description of the relevance of your initiative in relation to the relevant goal(s). Please also refer to the complete text of the Sustainable Development Goals at:

[www.un.org/sustainabledevelopment/sustainable-development-goals/](http://www.un.org/sustainabledevelopment/sustainable-development-goals/)

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| --- | --- |
|  | Goal 1: End poverty in all of its forms |
|  | Goal 2: End hunger, achieve food security and improved nutrition and promote sustainable agriculture |
|  | Goal 3: Ensure healthy lives and promote well-being for all ages |
|  | Goal 4: Ensure inclusive and equitable education and promote life-long learning opportunities for all |
|  | Goal 5: Achieve gender equality and empower all women and girls |
|  | Goal 6: Ensure availability and sustainable management of water and sanitation for all |
|  | Goal 7: Ensure access to affordable, reliable, sustainable and modern energy for all |
|  | Goal 8: Promote sustained, inclusive and sustainable economic growth, full and productive employment and decent work for all |
|  | Goal 9: Build resilient infrastructure, promote inclusive and sustainable industrialization and foster innovation |
|  | Goal 10: Reduce inequality within and among countries |
|  | Goal 11: Make cities and human settlements inclusive, safe, resilient and sustainable |
|  | Goal 12: Ensure sustainable consumption and production patterns |
|  | Goal 13: Take urgent action to combat climate change and its impacts |
|  | Goal 14: Conserve and sustainably use the oceans, seas and marine resources for sustainable development |
|  | Goal 15: Protect, restore and promote sustainable use of terrestrial ecosystems, sustainably manage forests, combat desertification, and halt and reverse land degradation and halt biodiversity loss |
|  | Goal 16: Promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions for all |
|  | Goal 17: Strengthen the means of implementation and revitalize the Global Partnership for Sustainable Development |

In the case of Goal 11, please tick the relevant targets:

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| --- | --- |
|  | Target 1: Access for all to adequate, safe and affordable housing and basic services and upgrade slums |
|  | Target 2: Access to safe, affordable, accessible and sustainable transport systems for all |
|  | Target 3: Participatory, integrated and sustainable human settlement planning and management |
|  | Target 4: Safeguard cultural and natural heritage |
|  | Target 5: Reduce deaths and number of people affected by disasters with particular focus on the poor and people in vulnerable situations |
|  | Target 6: Improve air quality and manage municipal and other wastes |
|  | Target 7: Universal access to safe, inclusive and accessible green and public spaces, in particular of women, children older persons and persons with disabilities |
|  | Target 8: Support positive economic, social and environmental links between urban, peri-urban and rural areas |
|  | Target 9: Improving resource efficiency, mitigation and adaptation to climate change, resilience to disasters and implement holistic disaster risk management |
|  | Target 10: Support least developed countries in building sustainable and resilient buildings utilizing local materials |

**I. Descriptive material in annex to the document**

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| These are materials destined to allow members of the Technical Committee and the Jury to have a better understanding of the context of your initiative which is a very important consideration. Additional material can be submitted in DVD or CD formats. Please do not exceed the specifications below; anything that exceeds these specifications may not be availed to the Technical Committee or the Jury. Please provide, where possible and relevant, the following: |
| * Up to 5 press clippings or copies of covers and tables of content of reports published on the initiative. Please embed this material on A4 pages. |
| * Up to 5 photos that best illustrate the initiative (.jpeg at 72 dpi, 500 x 700 px max). Please embed this material in two (2) A4 pages with titles. |
| * Up to 5 graphics that best illustrate the initiative (.pdf format, 72 dpi, A4 size max). These graphics could illustrate for example, trends, ratios or percentages; tools or technologies; etc. Please embed them on A4 pages. |
| * 1 map that best illustrates the physical context of the initiative (.pdf format, 72 dpi, A4 max) |
| * 1 chart that best illustrates the initiative (.pdf format, 72 dpi, A4 max) |
| * 1 technical drawing reduced to fit A4 format |

**I. Additional material**

Any additional material such as videos should be submitted on a DVD/CD or by email to the Secretariat at [info@guangzhouaward.org](mailto:info@guangzhouaward.org).