

Cities Alliance

**Work Programme and Financial Plan
(FY10-FY12)**

As of December 31, 2009

-- DRAFT --

FOR CONSULTATIVE GROUP CONSIDERATION

ANNUAL

MEETINGS - MUMBAI

20-22 JANUARY 2010

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Introduction

Ten years ago, the Cities Alliance and its Cities Without Slums action plan were being launched with great fanfare in Berlin. Within months, before the first CDS or slum upgrading project grant had even begun implementation, the Action Plan target to improve the lives of at least 100 million slum dwellers was incorporated into the Millennium Declaration, the first time an explicitly urban issue had been recognized as an international development target.

Early successes from Cities Alliance funding rapidly followed, with CDS movements catalyzed in South Africa and the Philippines, and in Brazil, being in the right place in the right time, benefitting from the social changes that were to sweep President Lula to power, and bring the issues of cities and slums to the forefront of public policy.

In these countries, the CA brought international experience, a development platform and modest grant funding to catalyze and support local change processes. Most benefited from strong CA member support, sustained over time, often with supplementary (non-core) financial contributions, and were rooted in a strategic context.

A modest portfolio retrospective (2000-2009) has been produced for the Mumbai CG meetings, which provides a reflection on the first decade of some of the CA-funded country activities, highlighting factors behind these and other successes, and the pointing to the lack of sustained success in lower income countries. A summary of the first ten years would point to extremely good name and issue recognition for the Cities Alliance, a relatively strong portfolio but, above all, the need for honesty and humility in learning from the past decade, and identify methods to significantly improve impacts through changes to the business model.

The plan proposed for the next 10 years translates the lessons from the first decade into a strategic work programme that shifts more than 25% of Core resources into a focused and sustained campaign to change the dynamics on city/slum issues in Sub-Saharan Africa, and in low income countries in all regions. Combined with the \$15m Gates Foundation funding for the Land, Services & Citizenship programme, more than 40% of total CA resources would be mobilized to bring systemic change, at scale, to poor countries.

This report reflects the strategies and trade-offs of the re-positioned business model proposed for the Cities Alliance, presented in a separate document. It proposes to catalyze actions in low income countries by bringing together the full capacities and resources of CA members and the local leaders and champions of city/urban development issues – in a given space at a given time – around a strategic framework and a sizeable allocation of CA funding (\$1-\$4m per country).

The WP continues high-impact activities in middle income countries, where the successes reverberate, and the receivers of assistance in the 2000s will increasingly be providing leadership, knowledge and experience to others in the 2010s. This includes implementation of the Partnership Agreements for the dynamic and high-performing WPs on CDS and SU in the Philippines, Brazil and India, which were featured in the Medium-Term Strategy. However, the plan modifies this approach going forward, and focuses it on low income countries.

Whereas the Partnership Agreements set out in the Medium-Term Strategy are a set of strategic and mutually beneficial activities agreed among national government and CA partners, the *country programme* is a more comprehensive approach designed for needs of lower capacity and lower income countries. The country programme uses CA funding as a platform around which local governments can come together, in partnership, with communities, national government and other key stakeholders – facilitated by the Cities Alliance – around an agenda to improve and implement urban policies and strategies, and to share knowledge about these and related issues.

Trade-offs. The plan is financed partially by a restructuring of the Cities Alliance grant facility which was, during the first 10 years, the primary product of the partnership. Funding for the grant facility is proposed to be reduced and put on a fixed budget, and repositioned as a “Catalytic Fund,” with a sharper focus around a Results Framework and on causing systemic change.

The budgets for new global knowledge activities will also be reduced during the medium-term. More than \$4m in global knowledge activities are currently underway, much of this implemented by CA members through joint work programmes negotiated with the secretariat. The projects will be completed over the next 2-3 years, and future allocations brought into a more strategic framework.

The proposed WP adds budget, however, for an advocacy plan. Communication and advocacy initiatives will be targeted at low income countries as part of strategic country programmes, and complemented by a campaign to build support among governments, agencies and potential partners in OECD countries, particularly in Europe.

STRUCTURE OF PLAN

The Work Programme and Financing Plan presents current and proposed activities according to the modes of engagement of the re-positioned Cities Alliance business model:

- country programmes,
- modified grant facility (“Catalytic Fund”),
- knowledge and advocacy programme, and
- partnership operations

It also presents the type of expected results according to the proposed **Results Framework**, which as the goal to improve living conditions of urban poor by:

- improving local and national government knowledge about urban development (“knowledge”);
- elaboration of improved local and national government urban policies and strategies (“elaboration”); and
- implementation of improved local and national government urban policies and strategies (“implementation”).

A. Work Programme summary tables and Financing Plans

The tables on following pages provide financial overviews of the on-going and proposed Work Programme, and plans for its financing.

On-Going Funding Allocations

(As of 31 December 2009)

- Approximately \$28m of country-specific and knowledge and advocacy activities were on-going as of end of 2009.
- More than 80% of on-going Core-funded activities were country-specific projects from the open-access grant facility, mostly for elaboration of policies and strategies.
- The plans for 2010-2012 would reduce funding for the open-access facility (Catalytic Fund) to approximately 33% of Core funds, and to less than 24% of total funding (including Gates and Africa Facility).
- Country Programmes would increasingly focus on implementation of policies and strategies.

Approved and Recommended Funding Allocations – SUMMARY FY10 to date

(As of 31 December 2009)

- More than \$9.5m approved during first half of FY10, of which \$6.5m from Core funds. Approval for additional \$0.5m is recommended.

Approved and Recommended Funding Allocations – DETAILED FY10 to date

(As of 31 December 2009)

- Detailed listing of approved and recommended allocations.

Sources and Uses of Funds – Estimated FY10 for entire year

- Summarized by main sources of funds – Core, Gates Foundation (for Land, Services & Citizenship programme), Africa Facility and other Non-Core.

Sources and Uses of Funds – Budgeted FY10-FY12

- Projected 3-year budget based on repositioned business model.
- Assumes 33% increase in Core funding contributions (\$7m over 3 years).

A 1. - ON-GOING FUNDING ALLOCATIONS

-- Country and Knowledge/Advocacy Activities --

As of 31 December 2010

	BY FUNDING SOURCE				BY DAC CLASSIFICATION AT TIME OF APPROVAL				
	Core	Gates	Africa Facility	Other Non-Core	LDC	OLIC	LMIC	UMIC	N/A
TOTALS									
Country Programme Allocations	5,098,500	3,885,500	588,000	0	3,135,500	0	0	0	1,963,000
Land, Services & Citizenship	450,000	2,685,500	0	0	3,135,500	0	0	0	1,963,000
Country Programme Support	1,963,000	1,200,000	588,000	0	0	0	0	0	0
Country Project Allocations	17,895,259	0	752,000	100,000	4,465,331	2,046,000	6,480,937	5,563,491	191,500
supporting implementation of policies and strategies	1,457,284	0	0	0	200,000	0	145,000	1,112,284	0
supporting elaboration of policies and strategies	14,805,599	0	752,000	100,000	3,816,375	1,796,000	5,614,987	3,578,237	0
providing country specific data and knowledge	2,484,376	0	0	0	448,956	250,000	720,950	872,970	191,500
Global knowledge and advocacy activities	4,153,050	0	0	805,000	0	0	0	0	0
Theme: Slum Upgrading	587,100	0	0	0	0	0	0	0	0
Theme: City Development Strategy	825,000	0	0	0	0	0	0	0	0
Theme: Environment/ Climate Change/ Disaster	1,040,950	0	0	435,000	0	0	0	0	0
Theme: Finance	620,000	0	0	370,000	0	0	0	0	0
Theme: Gender/ Youth/ CDD	50,000	0	0	0	0	0	0	0	0
Theme: Other Learning & Knowledge Sharing	240,000	0	0	0	0	0	0	0	0
Communications & Advocacy	515,000	0	0	0	0	0	0	0	0
Monitoring & Evaluation	275,000	0	0	0	0	0	0	0	0
TOTALS	27,998,809	3,885,500	1,340,000	905,000	7,600,831	2,046,000	6,480,937	5,563,491	2,154,500
	100%	78%	14%	5%	32%	9%	27%	23%	9%

EXCLUDES LARGE NON-CORE for CLIFF, SUF and BAHIA

A 2 - Approved and Recommended Funding Allocations – Summary FY10 to Date

As of 31 December 2010

	TOTAL	Core	Gates	Africa Facility	Other Non-Core
Country Programme Allocations	3,448,500	175,000	2,685,500	588,000	0
Land, Services & Citizenship	2,685,500	0	2,685,500	0	0
Country Programme Support	763,000	175,000	0	588,000	0
Country Project Allocations ("Grant Facility")	2,458,160	2,458,160	0	0	0
supporting implementation of policies and strategies	594,605	594,605	0	0	0
supporting elaboration of policies and strategies	899,055	899,055	0	0	0
providing country specific data and knowledge	964,500	964,500	0	0	0
Global knowledge and advocacy activities	1,105,000	1,105,000	0	0	0
Theme: Slum Upgrading	0	0	0	0	0
Theme: Strategic City Development	75,000	75,000	0	0	0
Theme: Environment/ Climate Change/ Disaster	215,000	215,000	0	0	0
Theme: Finance	0	0	0	0	0
Theme: Gender/ Youth/ CDD	0	0	0	0	0
Theme: Other Learning & Knowledge Sharing	150,000	150,000	0	0	0
Communications & Advocacy	515,000	515,000	0	0	0
Monitoring & Evaluation	150,000	150,000	0	0	0
Partnership Operations	3,260,000	3,260,000	0	0	0
Management, finance and administration	1,900,000	1,900,000	0	0	0
Technical, knowledge, communications and M&E	1,260,000	1,260,000	0	0	0
Governance and member relations	100,000	100,000	0	0	0
	10,271,660	6,998,160	2,685,500	588,000	0

A 3 - Approved and Recommended Funding Allocations – Detailed FY10 to date

As of 31 December 2010

Country	Activity Title	Allocation Amount (USD)	Core	Gates Found.	Africa Facility	Other Non-Core	FY10 Status
Country Programme Allocations		3,448,500	175,000	2,685,500	588,000	0	
Land, Services & Citizenship		2,685,500	0	2,685,500	0	0	
Uganda	A Strategy for Transforming Settlements of the Urban Poor in Uganda: A Secondary Cities Support Programme	2,685,500		2,685,500			Approved
Country Programme Support		763,000	175,000	0	588,000	0	
Africa Regional	Defining Africa s Emerging Urban Agenda - Central Program Operations (State of Cities Report initiative)	498,000			498,000		Approved
	> Support and oversight for data collection and quality (UN Habitat)	45,000			45,000		
	> Support and oversight for capacity building and knowledge sharing (WBI)	45,000			45,000		
Africa Regional	Country programme development and support - Francophone Africa	75,000	75,000				Approved
Global	Expert support for country programme development and operations - financial sustainability; programme design	100,000	100,000				Recommended for Approval
Country Project Allocations		2,458,160	2,458,160	0	0	0	
Projects supporting the implementation of policies and strategies		594,605	594,605	0	0	0	
Brazil	Brazil Improving the Managerial Skills of Social Interest Housing Programmes	249,605	249,605				Approved
India	Supporting and Operationalising the National Urban Sanitation Policy (NUSP) in India	75,000	75,000				Approved
Kiribati	Kiribati Sustainable Towns Program Supporting The Implementation Of Town Development Strategies	200,000	200,000				Approved
Swaziland	Supporting the Implementation of the Comprehensive Urban Upgrading Program for Mbabane, Swaziland	70,000	70,000				Approved
Projects supporting the elaboration of policies and strategies		899,055	899,055	0	0	0	
India	Citywide Slum Upgrading Plan (CSUP) for the Heritage City of Agra (India)	249,605	249,605				Approved
Indonesia	Indonesia Slum Alleviation Policy and Action Plan (SAPOLA)	500,000	500,000				Approved
Mongolia	Support for Public Consultation and Dissemination of Economic Sector Work: Mongolia Enhancing Policies and Practices for Ger Area Development in Ulaanbaatar	74,450	74,450				Approved
Tanzania	Dar es Salaam: Investment Resource Mobilization for Metropolitan Development	75,000	75,000				Approved

A 3 - Approved and Recommended Funding Allocations – Detailed FY10 to date

As of 31 December 2010

Country	Activity Title	Allocation Amount (USD)	Core	Gates Found.	Africa Facility	Other Non-Core	FY10 Status
Projects providing country specific data and knowledge		964,500	964,500	0	0	0	
Ethiopia	Integrated Urban Database (Ethiopia)	248,500	248,500				Approved
India	India International Workshop: Scaling up Upgrading and Affordable Housing: From National Policies, to State Programs, and City-Wide Slum-Free Interventions	75,000	75,000				Approved
Syria	Syria State of Cities Report	450,000	450,000				Approved
LAC Multi-Country	State of Latin American and Caribbean Cities Report	191,000	191,000				Approved
Global knowledge and advocacy activities		1,105,000	1,105,000	0	0	0	
Theme: Slum Upgrading		0	0	0	0	0	
Theme: City Development Strategy		75,000	75,000	0	0	0	
	Brazil City Statue Publication (in English, for international dissemination)	75,000	75,000				Approved
Theme: Environment/ Climate Change/ Disaster Risk Rec		215,000	215,000	0	0	0	
	Supporting Cities and Disaster Management (with GFDRR)	75,000	75,000				Approved
	First Urban Climate Change Research Network (UCCRN) Assessment Report on Climate Change in Cities (ARC3)	75,000	75,000				Approved
	Strengthening Climate Adaptation & Disaster Risk Management in Latin American & Caribbean Cities	65,000	65,000				Approved
Theme: Communications & Advocacy		515,000	515,000	0	0	0	
	Places We Live Exhibit: World Habitat Day, National Building Museum	150,000	150,000	0	0	0	Approved
	World Urban Campaign Support for Set up and Launch	250,000	250,000	0	0	0	Approved
	Cities Alliance Branding and Graphic Standards	65,000	65,000	0	0	0	Approved
	Cities Alliance Corporate Video	50,000	50,000	0	0	0	Recommended for Approval
Theme: Monitoring & Evaluation		150,000	150,000	0	0	0	
	Evaluation of Project Implementation Modalities of the Cities Alliance	150,000	150,000	0	0	0	Approved

A 3 - Approved and Recommended Funding Allocations – Detailed FY10 to date

As of 31 December 2010

Country	Activity Title	Allocation Amount (USD)	Core	Gates Found.	Africa Facility	Other Non-Core	FY10 Status
Other Learning & Knowledge Sharing		150,000	150,000	0	0	0	
	Learning & Knowledge Dissemination	150,000	150,000	0	0	0	Recommended for Approval
Partnership Operations		3,260,000	3,260,000	0	0	0	
Management, finance and administrative operations		1,900,000	1,900,000	0	0	0	
	Secretariat - Management, finance and administrative operations	1,900,000	1,900,000	0	0	0	Approved
Technical, knowledge, communications and M&E operations		1,260,000	1,260,000	0	0	0	
	Secretariat - Technical, knowledge, communications and M&E operations	1,150,000	1,150,000	0	0	0	Approved
	Independent Technical Assessment	10,000	10,000	0	0	0	Recommended for Approval
	South Asia Regional operations	100,000	100,000	0	0	0	Recommended for Approval
Governance and Administration		100,000	100,000	0	0	0	
	Consultative Group/ EXCO Meetings	100,000	100,000	0	0	0	Recommended for Approval
TOTAL ALLOCATIONS		10,271,660	6,998,160	2,685,500	588,000	0	

510,000 of which recommended for approval

A 4 - Sources and Uses of Funds – Estimated FY10

31 December 2010

	TOTAL	Core	Gates	Africa Facility	Other Non-Core
SOURCES OF FUNDS - FY10					
Unallocated Fund Balance - 30 June 2009	24,872,884	6,728,890	13,541,932	2,528,665	2,073,397
Estimated FY10 Contribution Receipts	7,000,000	7,000,000	0	0	0
Estimated FY10 Investment Income	1,000,000	950,000	50,000	0	0
TOTAL BUDGETED SOURCES OF FUNDS - FY10	32,872,884	14,678,890	13,591,932	2,528,665	2,073,397
USES OF FUNDS - FY10					
Country Programme Allocations	10,523,500	2,750,000	6,685,500	1,088,000	0
> Approved to date (Uganda LSC; Africa SoCR start-up)	3,448,500	175,000	2,685,500	588,000	0
> Estimated additional during FY10:	0				
- two additional LSC countries	5,000,000	1,000,000	4,000,000	0	0
- initial allocations for Core programs (2 countries)	1,000,000	1,000,000	0	0	0
- initial Africa SoCR programme country	500,000	0	0	500,000	0
- initial urban review, diagnostic work and expert support	575,000	575,000	0	0	0
Country Project Allocations ("Grant Facility")	5,908,160	5,908,160	0	0	0
> Approved to date	2,458,160	2,458,160	0	0	0
> Estimated for transition period	250,000	250,000	0	0	0
> Proposed "Catalytic Fund" 2010 Call for Proposals	2,000,000	2,000,000	0	0	0
> Proposed "Catalytic Fund" FY10 small grant window	200,000	200,000	0	0	0
> Partnership agreement activities	1,000,000	1,000,000	0	0	0
Global knowledge and advocacy activities	3,005,000	1,505,000	0	0	1,500,000
> Approved to date	1,105,000	1,105,000	0	0	0
> Advocacy Plan activities (Causing Change Initiative)	200,000	200,000	0	0	0
> ECO2 rollout activities	1,500,000	0	0	0	1,500,000
> Budget for additional knowledge advocacy activities	200,000	200,000	0	0	0
Partnership Operations	3,260,000	3,260,000	0	0	0
Management, finance and administration	1,900,000	1,900,000	0	0	0
Technical, knowledge, communications and M&E	1,260,000	1,260,000	0	0	0
Governance and member relations	100,000	100,000	0	0	0
TOTAL BUDGETED USES OF FUNDS - FY10	22,696,660	13,423,160	6,685,500	1,088,000	1,500,000
ESTIMATED ENDING UNALLOCATED FUNDS- 30Jun10	10,176,224	1,255,730	6,906,432	1,440,665	573,397

A 5 - Sources and Uses of Funds – Budgeted FY10-FY12

31 December 2009

	TOTAL	FY10 Budget	FY11-FY12 Proposed	Core	Gates	Africa Facility	Other Non-Core
SUMMARY							
SOURCES OF FUNDS:							
Unallocated Fund Balance - Balance Forward	24,872,884	24,872,884		6,728,890	13,541,932	2,528,665	2,073,397
Estimated Contributions, at current annual rate	21,000,000	7,000,000	14,000,000	21,000,000	0	0	0
Increased contributions for Plan implementation	5,000,000	0	5,000,000	5,000,000	0	0	0
Estimated Investment Income	3,000,000	1,000,000	2,000,000	2,750,000	200,000	0	0
TOTAL BUDGETED SOURCES OF FUNDS	53,872,884	32,872,884	21,000,000	35,478,890	13,741,932	2,528,665	2,073,397
USES OF FUNDS:							
Country Programme Allocations	25,948,500	10,698,500	15,250,000	10,075,000	13,735,500	2,138,000	0
Country Project Allocations ("Grant Facility")	12,758,160	5,908,160	6,850,000	12,183,160	0	0	575,000
Global knowledge and advocacy programme	6,655,000	3,005,000	3,650,000	4,755,000	0	400,000	1,500,000
Partnership Operations	10,660,000	3,260,000	7,400,000	10,660,000	0	0	0
TOTAL BUDGETED USES OF FUNDS	56,021,660	22,871,660	33,150,000	37,673,160	13,735,500	2,538,000	2,075,000
Ending Balance of unallocated funds	(2,148,776)	10,001,224	(2,148,776)	(2,194,270)	6,432	(9,335)	(1,603)

A 5 - Sources and Uses of Funds – Budgeted FY10-FY12

31 December 2009

DETAILED

	TOTAL	FY10 Budget	FY11-FY12 Proposed	Core	Gates	Africa Facility	Other Non-Core
SOURCES OF FUNDS							
Unallocated Fund Balance - Balance Forward 30Jun09	24,872,884	24,872,884	10,001,224	6,728,890	13,541,932	2,528,665	2,073,397
Estimated Balance Forward 30Jun10							
Current Estimated Contribution Receipts	21,000,000	7,000,000	14,000,000	21,000,000	0	0	0
Increased contributions for Plan Implementation	5,000,000	0	5,000,000	5,000,000	0	0	0
Estimated Investment Income	3,000,000	1,000,000	2,000,000	2,750,000	200,000	0	0
TOTAL BUDGETED SOURCES OF FUNDS	53,872,884	32,872,884	31,001,224	35,478,890	13,741,932	2,528,665	2,073,397
USES OF FUNDS							
Country Programme Allocations	25,948,500	10,698,500	15,250,000	10,075,000	13,735,500	2,138,000	0
Land, Services & Citizenship - 5 Country programs (LICs)	17,410,500	7,860,500	9,550,000	3,675,000	13,735,500	0	0
- Gates Foundation project funds	13,735,500	6,685,500	7,050,000	0	13,735,500	0	0
- Core project support	3,675,000	1,175,000	2,500,000	3,675,000	0	0	0
Core Fund Country Program (LICs)	5,000,000	1,000,000	4,000,000	5,000,000	0	0	0
- Initial allocations for 5-6 countries	5,000,000	1,000,000	4,000,000	5,000,000	0	0	0
Country Program Support	3,538,000	1,838,000	1,700,000	1,400,000	0	2,138,000	0
- Africa SoCR programme	2,088,000	1,088,000	1,000,000	0	0	2,088,000	0
- urbanization reviews and diagnostic work	875,000	575,000	300,000	875,000	0	0	0
- university urban research	200,000	0	200,000	200,000	0	0	0
- expert support - finance, program design	375,000	175,000	200,000	325,000	0	50,000	0
Country Project Allocations ("Grant Facility")	12,758,160	5,908,160	6,850,000	12,183,160	0	0	575,000
Allocations from traditional Grant Facility	2,708,160	2,708,160	0	2,708,160	0	0	0
- Approved through December 2009	2,458,160	2,458,160	0	2,458,160	0	0	0
- Estimated for transition period	250,000	250,000	0	250,000	0	0	0
Catalytic Fund	6,700,000	2,200,000	4,500,000	6,700,000	0	0	0
- Proposed for Annual Window	6,000,000	2,000,000	4,000,000	6,000,000	0	0	0
- Proposed small grants Window	700,000	200,000	500,000	700,000	0	0	0
Partnership Agreement allocations	3,350,000	1,000,000	2,350,000	2,775,000	0	0	575,000
- Brazil	200,000	100,000	100,000	200,000	0	0	0
- Philippines	1,000,000	250,000	750,000	425,000	0	0	575,000
- India	2,150,000	650,000	1,500,000	2,150,000	0	0	0

A 5 - Sources and Uses of Funds – Budgeted FY10-FY12

31 December 2009

	TOTAL	FY10 Budget	FY11-FY12 Proposed	Core	Gates	Africa Facility	Other Non-Core
Global knowledge and advocacy programme	6,655,000	3,005,000	3,650,000	4,755,000	0	400,000	1,500,000
Knowledge Allocations, by Theme	4,055,000	2,555,000	1,500,000	2,555,000	0	0	1,500,000
- Slum Upgrading	200,000	100,000	100,000	200,000	0	0	0
- City Development Strategies	375,000	175,000	200,000	375,000	0	0	0
- Environment, Climate Change, and Disaster RR	1,815,000	1,715,000	100,000	315,000	0	0	1,500,000
- Communications	665,000	265,000	400,000	665,000	0	0	0
- Monitoring & Evaluation	550,000	150,000	400,000	550,000	0	0	0
- Other Learning & Knowledge Sharing	450,000	150,000	300,000	450,000	0	0	0
Advocacy Plan	1,100,000	200,000	900,000	1,100,000	0	0	0
- Low Income Countries initiatives	600,000	200,000	400,000	600,000	0	0	0
- Global/OECD initiatives	500,000	0	500,000	500,000	0	0	0
Networks/Partnerships Development and Relations	1,500,000	250,000	1,250,000	1,100,000	0	400,000	0
- World Urban Campaign	500,000	250,000	250,000	500,000	0	0	0
- European outreach project	600,000	0	600,000	600,000	0	0	0
- Advocacy Panel (Cities Alliance Summits)	250,000	0	250,000	250,000	0	0	0
- UCLG-Africa initiative (after unification)	400,000	0	400,000	0	0	400,000	0
Partnership Operations	10,660,000	3,260,000	7,400,000	10,660,000	0	0	0
Management, finance and administration	5,900,000	1,900,000	4,000,000	5,900,000	0	0	0
- Secretariat budget - mgt, finance and admin (HQ)	5,900,000	1,900,000	4,000,000	5,900,000	0	0	0
Technical, knowledge, communications and M&E	4,360,000	1,260,000	3,100,000	4,360,000	0	0	0
- Secretariat budget - tech, KM, comm, M&E (HQ)	3,760,000	1,160,000	2,600,000	3,760,000	0	0	0
- Regional staff	600,000	100,000	500,000	600,000	0	0	0
Governance and member relations	400,000	100,000	300,000	400,000	0	0	0
- CG, EXCO and other governance costs	300,000	100,000	200,000	300,000	0	0	0
- Member relations	100,000	0	100,000	100,000	0	0	0
TOTAL BUDGETED USES OF FUNDS	56,021,660	22,871,660	33,150,000	37,673,160	13,735,500	2,538,000	2,075,000
ESTIMATED ENDING UNALLOCATED FUNDS	(2,148,776)	10,001,224	(2,148,776)	(2,194,270)	6,432	(9,335)	(1,603)

B. Country Programmes

B 1. Ongoing Country Programmes

Uganda

The Country Programme in Uganda is part of the Land – Services – Citizenship Project funded by the Bill and Melinda Gates Foundation.

Summary of objectives	<p>At least 50,000 slum dweller households living within the 5 selected secondary cities actively engage in both securing their rights and honouring their responsibilities through improved urban governance and formalization, and improve their qualitative and quantitative access to municipal services.</p> <p>The Government of Uganda (national and sub national) in partnership with interested stakeholders develops effective and efficient inclusive urban development policies and strategies enabling the better management of future urban growth positively affecting the lives of 200,000 slum dweller households nationwide.</p>			
Duration	2009 – 2012 (first phase)			
Partners	<p>Ministry of Land, Housing and Urban Development – Responsible Ministry World Bank – Lead CA member Municipal Council – Key Partner 1, Driver Slum Dwellers International – Key partner 2, Mobiliser UN-Habitat – Support Partner Universities and research institutions – Support Partners Urban Authorities Association of Uganda (UAAU) – Support Partner</p>			
CA financing plan	<p>Total budget amount: \$4,440,500 Core fund: \$1,150,000 LSC project (Gates Foundation): \$2,685,500</p>			
Annual budget	Allocated FY 09:	Disbursed FY 09:	Proposed FY 10:	Estimated beyond FY 10:
Core LSC Project	\$450,000 0	0 0	\$2,685,500 200,000	0 \$500,000
Active and approved grants in FY 09 and FY 10 (until Dec.01 2009)	<p>a) Development of the National Urban Policy and Strategic Urban Development Plan for Uganda b) A Strategy for Transforming Settlements of the Urban Poor in Uganda: A Secondary Cities Support Programme</p>			
Duration	<p>a) 2009 – 2011 b) 2009 – 2012</p>			
Grant amount	<p>a) 450,000 – Core funds b) 2.685,500 – Gates Foundation</p>			
Milestones and deliverables in FY 09	Preparation and operationalisation of the programme			
Milestones planned for FY 10	Establishment of National Forum and of City Forums Urban Profiles			
Accumulated results	N/A, programme has just started			

B 2. Country Programmes under preparation

Ghana

The Country Programme Ghana is part of the Land – Services – Citizenship Project funded by the Bill and Melinda Gates Foundation.

Tentative profile of the country programme			
Summary of objectives	<p>At least 50,000 slum dweller households living within the Greater Accra Metropolitan Area actively engage in both securing their rights and honouring their responsibilities through improved urban governance and formalisation.</p> <p>At least 50,000 slum dweller households living within the Greater Accra Metropolitan Area improve their qualitative and quantitative access to municipal services.</p> <p>The Government of Ghana (national and sub national), in partnership with interested stakeholders, develops effective and efficient inclusive urban development policies and strategies enabling the better management of future urban growth positively affecting the lives of 200,000 slum dweller households nationwide.</p>		
Duration	2010-2013		
Partners	Ministry of Local Government and Rural Development – Responsible Ministry GTZ – Lead CA member Municipal Council – Key Partner 1, Driver. Slum Dwellers International – Key partner 2, Mobilizer Institute for Local Government Studies- Key Partner 3 UN-Habitat – Support Partner Universities and research institutions – Support Partners World Bank –Support partner AFD- Support partner		
CA financing plan	Total budget amount: \$4,000,000 Core fund: \$ 500,000 LSC project (Gates Foundation): \$2,500,000		
Annual budget	Proposed FY10:		Estimated beyond FY 10:
Core LSC Project	0		\$ 500,000
	\$2,500,000		0
Milestones planned for FY 10	t.d.b.		

Vietnam

The Country Programme Vietnam is part of the Land – Services – Citizenship Project funded by the Bill and Melinda Gates Foundation.

Tentative profile of the country programme			
Summary of objectives	<p>At least 50,000 slum dweller households living within 20 urban areas spread throughout Vietnam actively engage in both securing their rights and honouring their responsibilities through improved urban governance and formalisation.</p> <p>At least 50,000 slum dweller households living within 20 urban areas spread throughout Vietnam improve their qualitative and quantitative access to municipal services.</p> <p>The Government of Vietnam (national and sub national), in partnership with interested stakeholders, develops effective and efficient inclusive urban development policies and strategies enabling the better management of future urban growth positively affecting the lives of 200,000 slum dweller households nationwide.</p>		
Duration	2010-2013		
Partners	Ministry of Construction – Responsible Ministry ACVN- Association of Vietnamese Cities- Key Partner Peoples Committees – Key Partner World Bank- Lead CA member GTZ – Support partner UN-Habitat- Support partner ADB– Support partner AFD– Support partner KfW– Support partner AusAid –Support Partner ACHR/Enda – Support partner Universities, training and research institutions – Support Partner		
CA financing plan	Total budget amount: \$3,500,000 Core fund: \$ 500,000 LSC project (Gates Foundation): \$2,500,000		
Annual budget	Proposed FY 10:		Estimated beyond FY 10:
Core LSC Project	\$ 500.000		\$ 500,000 \$2,000,000
Milestones planned for FY 10	t.b.d .		

B 3. Country Programme pipeline

The Land Services Citizenship project will provide support for five countries. The country programme for Uganda was recently initiated, and Ghana and Vietnam are under preparation. It is anticipated that one more LSC country programme will be developed in the course of FY 10, and the fifth during FY11.

It is proposed that additional Country Programmes, characterized by a specific conceptual framework for each, but on a smaller scale, are mobilized using Core Funds.

B 4. Country Programme support activities

Coordination and support to the LSC project

Summary of objectives	Develop concepts for LSC country programmes, overall management of the project, field visits, backstopping and reporting, administration			
Duration	2010 – 2013,			
Partners	CA secretariat			
CA financing plan	Total budget amount: 1,200,000 USD LSC project (Gates Foundation): \$1,200,000			
Annual budget	Allocated FY 09:	Disbursed FY 09:	Proposed FY 10:	Estimated beyond FY 10:
LSC Project	\$1,200,000	\$300,000	0	0
Active and approved grants in FY 09 and FY 10 (until Dec.01 2009)			Expected grant duration	Approved grant amount
LSC Program Management, Administration and Implementation Support			2009 - 2013	1,200,000

African State of Cities Reports

Summary of objectives	<p>Catalyze and facilitate the development of national State of the Cities Reports in African countries, using these products/processes as the basis to build urban knowledge and organisational capacity to respond effectively to the unique challenges and opportunities faced by African urban policy-makers, planners and development practitioners through creating access to a) international best practice, b) more detailed knowledge and information about their own national urban realities, and c) effective means to rapidly increase their skills and capabilities in urban management and delivery.</p> <ul style="list-style-type: none"> • Establishing formal SOCR Project Management Program • Establishing an African Urban Knowledge Base and Network • SOCR projects in 3 countries 			
Duration	2009 - 1012			
Partners	African Centre for Cities -University of Cape Town, African Association of Planning Schools, UN Habitat, WBI			
CA financing plan	<p>Total budget amount: \$2,683,000 Africa facility: \$2,088,000 Various cofinancing: \$595,000</p>			
Annual budget	Allocated FY 09:	Disbursed FY 09:	Proposed FY 10:	Estimated beyond FY 10:
Africa Facility	0	0	\$588,000	\$1,500,000
Milestones planned for FY 10	Establishment of operating procedures and startup of programme.			
Active and approved grants in FY 09 and FY 10 (until Dec.01 2009)			Expected grant duration	Approved grant amount
Defining Africa's Emerging Urban Agenda - Central Programme Operation (ACC)			2009 - 2012	588.000
Support and oversight for data collection and quality (UN Habitat)			2009 -2012	45.000
Support and oversight for capacity building and knowledge sharing (WBI)			2009 -2012	45.000
Pipeline			Expected in	Tentative budget
SOCR projects in 3 countries			FY 11-12	500.000 each

Development and support of Country Programmes

Summary of objectives	Provide support to CA secretariat to develop, monitor and support partner implementation of country programmes, primarily focused on Sub-Saharan Africa.			
Duration	2010 - 2011			
Partners	UN Habitat, CA secretariat			
CA financing plan	Total budget amount: 225.000 USD Core fund			
Annual budget	Allocated FY 09:	Disbursed FY 09:	Proposed FY 10:	Estimated beyond FY 10:
Core	0	0	175,000	50,000
Active and approved grants in FY 09 and FY 10 (until Dec.01 2009)			Expected grant duration	Approved grant amount
Development of Francophone Africa Portfolio (UN Habitat)			2010	75,000
Pipeline			Expected in	Tentative budget
Expert support for country programme development and operations - financial sustainability; programme design			2010	100.000

Urbanization Reviews and Diagnostic Work

Proposed activities	Analytical work to support country programmes –Urban Reviews, Economic and Sector Work to support national urban policy dialogues, and other analytical and diagnostic work.			
Duration	2010 – 2013,			
Partners	World Bank			
CA financing plan	Total budget amount: 700,000 USD approx, Core fund			
Annual budget	Allocated FY 09:	Disbursed FY 09:	Proposed FY 10:	Estimated beyond FY 10:
Core	0	0	\$250,000	
Active and approved grants in FY 09 and FY 10 (until Dec.01 2009)			Expected grant duration	Approved grant amount
None yet				
Pipeline			Expected in	Tentative budget
Urbanisation reviews for country programmes			FY 10	250,000
Diagnostic work and baselines for country programmes			FY 10	150,000
Analytical Work on urban poverty and other key urban development issues			FY 10	300,000

C Country Partnership Agreements

Country Partnership agreements were established as part of the MTS, and three were developed in 2009. The LSC country programmes presented in section B are an evolution of the partnership agreements.

C 1. Ongoing Country Partnership Agreements

Brazil

Proposed activities under the partnership agreement	Brazil State of Cities Report Assessment of IDB-funded projects in Brazil's urban sector Integrated slum upgrading distance learning course Tripartite Partnership with Mozambique Publications Co-funding the Cities Alliance Project Office at the University of São Paulo Publication of an assessment of The Right to the City ("city statute") Mobilizing the private sector with a view to strengthening the Cities Alliance Secretariat		
Duration	2008 -2009		
Partners	The Ministry of Cities, The National Confederation of Municipalities (CNM), the National Mayors' Front (FNP) and the Brazilian Association of Municipalities (ABM).		
Active and approved grants in FY 09 and FY 10 (until Dec.01 2009) related to the agreement	Expected grant duration	Approved grant amount	
Building Institutional Capacity for Scaling up Slum Upgrading - Distance Learning Course for Integrated Slum Upgrading Actions	2008 - 2009	223,000	
Brazil State of the Cities Report	2009 - 2011	500,000	
Lessons and Policy Recommendations from Urbanization Programs of Precarious Settlements in Brazil	2009 - 2010	75,000	
Technical Assistance and Guidance in the Implementation of the Brazil National Housing Plan (PlanHab)	2009 -2011	75,000	

C 2. Country Partnership Agreements under preparation

India

Proposed activities under the partnership agreement	Knowledge support to the JNNURM programme, slum upgrading, urban poverty reduction, affordable housing, Knowledge support to PEARL network India State of the Cities Report, Citywide slum upgrading plans for 5 selected cities Draft legal framework for assigning poverty rights to slum dwellers State Urban Poverty Reduction Strategy Orissa, Municipal Finance Profile Orissa Support to State government of Madhya Pradesh		
Duration	3 years (2010-2012)		
Partners	Ministry of Housing and Urban Poverty, Ministry of urban Development, WB, WBI, UN Habitat, USAID, DFID, ADB		
Active and approved grants in FY 09 and FY 10 (until Dec.01 2009) related to the agreement	Expected grant duration	Approved grant amount	
None yet			

Philippines

Proposed activities under the partnership agreement	1. Development of a National Slum Upgrading Strategy 2. Expanding and Enhancing the CDS Programme 3. Strengthening the League of Cities of the Philippines 4. Knowledge Support to the Philippines Urban Consortium		
Duration	3 years (2010-2012)		
Partners	Housing and Urban Development Coordinating Council (HUDCC), League of Cities of the Philippines (LCP), and Department of the Interior and Local Government (DILG)		
Active and approved grants in FY 09 and FY 10 (until Dec.01 2009) related to the agreement	Expected grant duration	Approved grant amount	
None yet			

D. Country-specific projects

This section refers to the grant facility. The projects are clustered according to the structure of the proposed results framework – whether main objective is supporting knowledge, the elaboration of CDS and SU strategies and policies, or their implementation.

This is an initial categorization of projects. No attempt has been made to assess the extent of which the projects would “improve” strategies and policies (eg, pro-poor orientation).

D 1. Ongoing projects supporting the implementation of policies and strategies

Country	Title	Start Date	Expected Completion Date	Approved Grant Amount	Project Partners	Funding Source
Least Developed Countries						
Kiribati	Kiribati Sustainable Towns Program Supporting The Implementation Of Town Development Strategies	23-Oct-10	30-Jun-11	200,000	MISA	Core
Upper Middle Income Countries						
Brazil¹	Brazil Diadema Establishment of Management Structure for the Municipal Fund for the Support of Social Interest Housing (FUMAPIS)	29-Dec-08	30-Jun-10	114,479	FFF	Core
Brazil	Brazil Improving the Managerial Skills of Social Interest Housing Programmes	07-Dec-09	31-Dec-11	249,605	WB	Core
Brazil	Bahia Integrated Urban Development Program Viver Melhor/BIRD: Technical and Methodological Assistance Project (TA)	15-Dec-05	31-Jan-11	7,208,280	AVSI	Core= 250,000 and Italy= 6,958,280
Chile	Support the implementation of the programme to recover 200 Neighbourhoods through Capacity Building and Strengthening among	9-Nov-07	30-Jun-10	498,200	GTZ	Core

¹ Note: some projects in Brazil are presented as part of country programmes and country partnership agreements in section A, as they are part of the country partnership agreement

	Local Actors (Municipality and Community) and Public Services					
Lower Middle Income Countries						
India	Supporting and Operationalising the National Urban Sanitation Policy (NUSP) in India	12-Nov-09	31-Dec-10	75,000	NIUA	Core
Swaziland	Supporting the Implementation of the Comprehensive Urban Upgrading Program for Mbabane, Swaziland	15-Jul-09	31-July-10	70,000	Municipal Council of Mbabane	Core
Multi-country						
Sri Lanka, Indonesia, Ghana, Tanzania	Slum Upgrading Facility (SUF) Pilot Programme	15-May-06	30-Jun-10	9,250,000	UN- HABITAT	DFID = 10,000,000
India, Kenya, Phillipines	Community-Led Infrastructure Finance Facility (CLIFF)			16,435,570	Homeless Int'l	Sida = 4,864,000 DFID = 11,571,570

D 2. Ongoing projects supporting the elaboration of policies and strategies

Country	Title	Start Date	Expected Completion Date	Approved Grant Amount	Project Partners	Funding Source
Least Developed Countries						
Benin	Development Strategy for Greater Cotonou	8-May-06	30-Jun-10	330,000	UN-HABITAT	Core
Benin	Urban development and rehabilitation strategy for the city of Abomey	13-Sep-07	t.b.d.	250,000	UN-HABITAT	Core
Burkina Faso	Strategy for developing Greater Ouagadougou and improving basic infrastructure and city services in underprivileged areas	3-May-06	30-Jun-10	330,000	UN-HABITAT	Core
Liberia	Preparation of Monrovia Slum Upgrading Initiative	16-Jun-08	31-Dec-09	71,000	WB	Cities Without Slums Facility for Africa
Malawi	Preparatory Grant for Development of Lilongwe CDS	30-Jun-08	30-Jun-10	72,375	Lilongwe City Assembly	Core
Mali	Cities of Mali without Slums	28-Apr-08	30-Jun-10	360,000	UN-HABITAT	Core
Mozambique	Slum Upgrading and City Development Strategy for Nampula City, Mozambique	30-Jun-09	30-Jun-10	353,000	UN-HABITAT Nampula Municipal Council	Core
Senegal	Greater Dakar Urban Development Strategy	3-May-06	30-Jun-10	380,000	UN-HABITAT	Core
Senegal	National program for slum improvement and slum upgrading in Senegals cities Senegalese Cities without Slums	8-Mar-07	31-Dec-11	430,000	FDV	Core
Sierra Leone	Preparation of Slum Initiative, Freetown	17-Oct-08	31-Dec-09	71,000	WB	CWS Facility for Sub-Saharan Africa
Tanzania	Action Plan to Upgrade All Informal Settlements in Dar Es Salaam by 2015	19-Oct-04	31-Mar-11	360,000	UN-HABITAT	Core

Tanzania	Dar es Salaam: Investment Resource Mobilization for Metropolitan Development	27-Oct-09	31-Dec-10	75,000	WB	Core
Togo	Greater Lome Urban Development Strategy	16-Apr-09	30-Jun-10	370,000	AGETUR	Core
Yemen	Sana'a City Development Strategy Climate Change Incremental Activity	30-Jun-08	30-Jun-10	84,000	Sana'a Secretariat WB	Core
Yemen	Sanaa City: Medium to Long-Term City Development Strategy for Sustainable Development	14-Oct-06	31-Dec-09	280,000	WB Sana'a Secretariat	Core
Other Low Income Countries						
Cameroon	Urban Development and Poverty Reduction Strategy: City of Douala and its Greater Urban Area	8-Dec-06	31-Dec-10	500,000	CUD WB	Core
Ghana	Preparatory Grant Request Towards Ghanas New Direction In Support Of Sub National Government Infrastructure Development And Service Delivery	3-Sep-08	31-Dec-09	75,000	WB	CWS Facility for Sub-Saharan Africa
India	Urban Institutional Strengthening and Capacity Enhancement Project	7-Mar-07	30-Jun-10	75,000	WB	Core
India	Transformation of Mumbai into a World Class City Phase II	31-Jul-08	30-Jun-11	500,000	MTSU/ AIILSG	Core
Mongolia	Citywide Pro-poor Ger Upgrading Strategy and Investment Plan (GUSIP)	3-Nov-05	30-Jun-11	500,000	UN-HABITAT	Core
Papua New Guinea Fiji Samoa	Preparatory Proposal Fiji Islands, Samoa and Papua New Guinea Pacific Urban Agenda CDS/Settlements Upgrading Strategies	25-Mar-09	30-Jun-10	75,000	UN-HABITAT	Core
Tajikistan	City Development Strategy Elaboration of a Full Scale Project Document	22-Oct-08	30-Jun-10	71,000	UN-HABITAT	Core

Albania	Albania Tirana City Development Strategy	3-Jun-09	30-Jun-10	250,000	Municipality of Tirana	Core
Brazil	Poverty-Focused City Development Strategy for the Metropolitan Region of Belo Horizonte (MRBH), Brazil	27-Jun-07	30-Jun-10	500,000	SEDRU UN-HABITAT	Core
China	Shanghai Development Strategy in Regional Context	25-Jun-07	30-Jun-10	250,000	GTZ	Core
Egypt	Preparatory Grant Assistance for Greater Cairo Metropolitan Development Strategy and City-wide Upgrading	27-Nov-07	31-Dec-08	75,000	WB	Core
El Salvador	Preparation of an Urban Development Strategy for the Region La Paz in the Department of La Paz and San Vicente	28-Mar-07	30-Jun-10	500,000	GTZ	Core
Georgia	Tbilisi City Development Strategy for Sustainable Development	6-Nov-08	31-Dec-11	300,000	MDF WB	Core
India	Citywide Slum Upgrading Plan (CSUP) for the Heritage City of Agra (India)	29-Oct-09	31-Oct-12	499,987	CURE India	Core
Indonesia	Indonesia Slum Alleviation Policy and Action Plan (SAPOLA)	29-Oct-09	30-June-12	500,000	Ministry of Housing (Indonesia)	Core
Indonesia	Preparation of a Proposal on Making Urban Investment Planning Work. Building on the Indonesian CDS Process	21-Apr-08	30-Jun-10	75,000	UN-HABITAT	Core
Morocco	Partnership Framework Agreement for Support and Monitoring of the Program Cities Without Slums	20-Sep-05	20-Sep-10	490,000	NENA Urban Forum Al Omrane	Core
Philippines	A Metro Manila Cities Without Slums Strategy	4-Dec-06	31-Dec-09	500,000	ADB	Core
Philippines	Philippines Towards a Local Economic Development Strategy in Quezon City	18-Feb-09	30-Jun-10	250,000	Quezon Loc. Gov't WB	Core
Sri Lanka	Pro-Poor Partnerships for Participatory Settlement Upgrading in Sri Lanka	30-Jun-08	30-Jun-10	500,000	UN-HABITAT	Core

Swaziland	Supporting the Design of a CDS-based Local Government System in Swaziland	14-Apr-08	30-Sep-10	240,000	WB	Core
Syrian Arab Republic	Aleppo City Development Strategy	4-May-08	30-Jun-10	380,000	GTZ	Core
Syrian Arab Republic	Upgrading Informal Settlements in Rief Damascus- Preparatory Grant Assistance	18-Mar-09	31-Mar-10	60,000	WB	Core
Tunisia	Greater Sfax Development Strategy (GSDS) Phase 2	7-Jun-07	30-Jun-10	245,000	WB ASM	Core
Upper Middle Income Countries						
Argentina	A Way Forward - Argentina City Development Strategies for Three Municipalities in the Province of Buenos Aires	30 June 09	30-Aug-11	499,006	FAM	Core
Brazil	Regulation of ZEIS - Special Social Interest Zones in the municipality of Salvador (Brazil)	30-Jun-09	30-Jun-10	490,000	FMLF	Core
Brazil	Technical Assistance and Guidance in the Implementation of the Brazil National Housing Plan (PlanHab)	28-Jul-09	30-Jun-11	75,000	WB	Core
Costa Rica	Towards a Neighborhood Improvement Policy: Home, Community, City and Quality of Life	9-Feb-09	31-Dec-11	500,000	UN-HABITAT	Core
Lebanon	Al Fayhaa Sustainable Development Strategy (AFSDS)	27-Oct-06	31-Jul-10	379,231	WB Al-Fayha Union of Municipalities	Core
South Africa	The Tshwane Sustainable Human Settlement Strategy and Financing Plan	19-May-06	30-Jun-10	535,000	WB	Cities Without Slums Facility for Africa
South Africa	Upgrading for Growth: Implementing the Breaking New Ground Policy within Ekurhuleni City Development Strategy	19-May-06	30-Jun-10	500,000	WB	Core
South Africa	Strengthening the South African National Upgrading Support Programme	4-Apr-08	30-Sep-10	600,000	WB	USAID = 100,000 and Core = 500,000

D 3. Projects providing country-specific data and knowledge

Global knowledge projects see section D

Country	Title	Start Date	Expected Completion Date	Approved Grant Amount	Grant Recipient	Funding Source
Least Developed Countries						
Ethiopia	Ethiopia Cities Network	8-Jan-08	30-Jun-10	74,000	GTZ	Core
Ethiopia	Integrated Urban Database (Ethiopia)	29 Oct 09	30-Apr-11	248,500	Ministry of Works and Urban Development	Core
Mozambique	Preparatory Grant for the Development of a State of Mozambican Cities Report	27-Jan-09	30-Jun-10	52,500	ANAM	Core
Tanzania	Establishment of Tanzania State of the Cities Report (Preparatory Phase)	10-Jan-08	30-Jun-10	73,956	TACINE	Core
Other Low Income Countries						
Nigeria	Nigeria Preparation of State of the Cities Report	19-Oct-07	t.b.d.	250,000	FMHEUD (Nigeria)	Cities Without Slums Facility for Africa
Lower Middle Income Countries						
India	International Workshop: Scaling up Upgrading and Affordable Housing From National Policies, to State Programs, and City-Wide Slum-Free Interventions	7-Dec-09	31-Jan-10	75,000	WB	Core
Mongolia	Support for Public Consultation and Dissemination of Economic Sector Work: Mongolia Enhancing Policies and Practices for Ger Area Development in Ulaanbaatar	1-Sep-09	31-Dec-10	74,450	WB	Core
Philippines	Review of the Cities Development Strategy Program in the Philippines and Preparation and	15-Sep-08	30-Jun-11	196,500	LCP WB	Core

	Publication of the State of Philippine Cities Report					
Syrian Arab Republic	Syria State of the Cities Report	29-Oct-10	30-Oct-12	450,000	GTZ	Core
Upper Middle Income Countries						
Brazil	Brazil State of the Cities Report	9-Jun-09	30-Jun-11	500,000	Polis	Core
Brazil	Lessons and Policy Recommendations from Urbanization Programs of Precarious Settlements in Brazil	05-May-09	31-Mar-10	75,000	IADB	Core
Brazil	Building Institutional Capacity for Scaling up Slum Upgrading - Distance Learning Course for Integrated Slum Upgrading Actions	19-Sep-08	30-Jun-10	223,000	FDTE	Core
Chile	Chile Recovering Neighborhoods Program: Lessons Learned in Mes del barrio Experience	30-Jun-09	31-Dec-09	74,970	GTZ	Core
Multi-country						
	State of Latin American and Caribbean Cities Report			191,000	UN-HABITAT (LAC Office)	Core

D 4. Pipeline of projects supporting the implementation of policies and strategies

This section presents project proposals formally submitted to the secretariat. The proposals are currently being processed by the secretariat. Their inclusion in this list does not does not preempt any decision.

Country	Title	Grant Request	Partner Organizations
Lower Middle Income Countries			
Indonesia	Making Urban Investment Planning Work: Building on the Indonesian CDS Process	500,000	UN-HABITAT
1 Total Proposals & Amount Requested		500,000	

D 5. Pipeline of projects supporting the elaboration of policies and strategies

This section presents project proposals formally submitted to the secretariat. The proposals were being processed by the secretariat as of early December 2009. Their inclusion in this list does not does not preempt any decision.

Country	Title	Grant Request	Partner Organizations
Least Developed Countries			
Benin	Stratégie de Développement Urbain du Pays Gun	320,000	UN-HABITAT
Malawi	Malawi Lilongwe CDS Development Grant	150,000	Lilongwe City Assmby Ministry of Local Government and Rural Development (Malawi)
Malawi	Malawi City Development Strategy and Slum Upgrading Programme Framework	500,000	UN-HABITAT Ministry of Lands, Housing and Urban Development (Malawi)
Samoa	Samoa City Development Strategies and Settlement Upgrading Programme	489,900	Ministry of Finance; UN- HABITAT Regional Office for Asia & the Pacific
Other Low Income Countries			
Kenya	Facilitating Consensus for the Kenya Slum Upgrading Program	448,227	WB
Vietnam	Vietnam Thanh Hoa City CDS in a Regional Development Context	495,880	UN-HABITAT

Lower Middle Income Countries			
Bolivia	Development of an Urban Upgrading Policy Framework for La Paz, Bolivia	345,000	Municipality of La Paz
India	Planning Support Unit: An Initiative to Support City Development Planning in the State of Madhya Pradesh, India	248,865	City Managers' Association of Madhya Pradesh
Indonesia	Making Urban Investment Planning Work: Building on the Indonesian CDS Process	500,000	UN-HABITAT
Jordan	Programmatic Regional City Development Strategy for Secondary Cities (Jordan)	500,000	Ministry of Municipal Affairs (Jordan)
Moldova	Moldova Operational Development Strategy and Action Plan for Chisinau	315,229	UN-HABITAT
Namibia	Namibian City Development Strategies (CDS) Pilot	219,000	ALAN
Papua New Guinea	Papua New Guinea City Development Strategy and Settlement Upgrading Programme	500,000	Office of Urbanisation; Asian Coalition for Housing Rights; UN-HABITAT Regional Office for Asia & the Pacific
Philippines	Philippines Traffic and Transport Management for CDS Cities	500,000	LCP
Upper Middle Income Countries			
Fiji	Fijis City Development Strategy and Settlement Upgrading Programme	500,000	Ministry of Local Government, Urban Development, Housing and Environment (MLGUD&HE)

D 6. Pipeline of projects providing country-specific data and knowledge

This section presents project proposals formally submitted to the secretariat. The proposals are currently being processed by the secretariat. Their inclusion in this list does not does not preempt any decision.

Country	Title	Grant Request	Partner Organization
Least Developed Countries			
Tanzania	Tanzania State of the Cities Report	250,000	Tanzania Cities Network
Lower Middle Income Countries			
India	Knowledge Support for PEARL Programme under JNNURM	500,000	NIUA
Multi Country			

Pacific Island Countries	Regional Institutional Strengthening and Knowledge Management Support to Pacific Island Countries to Strengthen Implementation of the Pacific Urban Agenda under the Pacific Plan	500,000	Foundation of the Peoples of the South Pacific International (FSPi); Pacific Islands Planners Association (PIPA)
ECA	East Europe and Central Asia Urban Regeneration & Community of Practice	75,000	

WB

E Global knowledge and advocacy programme

E 1. Ongoing global activities

Title	Start Date	Expected Completion Date	Approved Grant Amount	Project Partners	Funding Source
Thematic focus: City Development Strategies					
UCLG MDG based CDS	13-Feb-06	31-Mar-10	250,000	UCLG	Core
Joint Work Programme: UCLG City Future CDS -Second Phase			250,000	UCLG	Core
Joint Work Programme Brazil City Statute Publication			75,000	CA Secretariat	Core
Establishing a Regional City Development Strategy Facility at the Arab Urban Development Institute (AUDI), Riyadh, Saudi Arabia	27-Feb-05	30-Jun-10	250,000	AUDI	Core
Thematic Focus: Slum upgrading					
Enhancing Enumerations as a Tool for Tenure Security	23-Sep-09	30-Jun-11	75,000	UN-HABITAT	Core
Joint Work Programme-Development and Adaptation of Quick Guides for Policy Makers on Housing the Poor in African Cities	3-Feb-09	30-Jun-10	193,500	UN-HABITAT	Core
Joint Work Programme: Successful Approaches to National Slum Upgrading and	20-Jul-09	30-Jun-11	250,000	WB	Core

Prevention					
Making The Case for Incremental Housing			68,600	MIT	Core
Thematic Focus: Environment, Climate Change, Disaster reduction					
Joint Work Programme: Mainstreaming the Environment in the Cities Alliance Activities	14-Aug-09	30-Jun-13	400,950	UNEP	Core
Joint Work Programme: Cities and Climate Change	23-Sep-09	31-Dec-11	500,000	UN-HABITAT	\$435,000 Norway Non-core support for MTS, consisting of \$175,000 for UN-Habitat, \$175,000 for WB urban anchor and \$85,000 for UNEP. \$65,000 administered by CA-SEC from Core funds.
Joint Work Programme: Supporting Cities and Disaster Management			75,000	CA Secretariat	Core
Strengthening Climate Adaptation & Disaster Risk Management in Latin American & Caribbean Cities			65,000	WB	Core
Thematic Focus: Finance					
Joint Work Programme: UCLG Municipal Finance Commission Second Phase	30-Jun-09	30-Jun-10	250,000	UCLG	Core
Financing of African Cities			370,000	CA Secretariat	AfD - TF093291
Monitoring and Evaluation					
Evaluation of Project Implementation Modalities of the Cities Alliance	14-Dec-09	30-Jun-10	150,000	CA Secretariat	Core
Monitoring & Evaluation - general allocation	23-Jan-2009		125,000	CA Secretariat	Core
Communications & Advocacy					
Joint Work Programme: World Urban Campaign Support for Set up and Launch			250,000	UN-HABITAT	Core
Places We Live Exhibit / World Habitat Day 2009			150,000	CA Secretariat	Core

Cities Alliance corporate branding			65,000	CA Secretariat	Core
Cities Alliance corporate video			50,000	CA Secretariat	Core
Other					
Cities Alliance 10th Anniversary Annual Report: Berlin Meeting to discuss advocacy think piece on urban development trends, challenges and opportunities			65,000	CA Secretariat	Core
Gender and Youth			50,000	CA Secretariat	Core
Establishing the University Urban Research Initiative	26-May-09	30-Jun-10	75,000	The New School	Core
Other Learning & Knowledge Sharing			100,000	CA Secretariat	Core

E 2. Pipeline of global activities

Thematic Focus: Slum upgrading		
Global	Slums on Transport Arteries Study	200,000