Cities Alliance

CHARTER

DRAFT

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262728	The Cities Alliance is a global partnership for urban poverty reduction and the promotion of the role of cities in sustainable development.
29 30 31	In the last 20 years, the world urban population has grown from 2.25 billion to 3.5 billion. It is expected that it will reach 4.9 billion in 2030. On the other hand, the annual urban growth rate is declining in many parts of the world, reflecting the advancement of the urban transition.
32 33 34 35 36 37	Local governments have grown in importance in recent decades and their role is widely recognized, but their political, institutional and financial resources and powers do not match their mandate. Urban governance and decentralization have progressed in all regions of the world to the benefit of urban dwellers. However, local government capacities remain underdeveloped in many countries, particularly in secondary cities, and there is enormous room for south-south and city-to-city cooperation for urban development.
38 39 40 41	Economically and culturally, cities are effective engines of growth and innovation allowing people to access a variety of job opportunities and personal development at large scale. However, especially in low income countries, many of these jobs are provided by the informal sector and decent work remains a challenge in many cities.
42 43 44	Cities, large and small, are also places of growing inequalities and sometimes of squalid poverty, in terms of both income poverty and inadequate access to shelter and basic services. But their neighborhoods are often marked by human solidarities, community networks and citizen initiatives.
45 46 47 48	By their density, compact cities offer the potential for sustainable development and the efficient use of natural resources. But most cities witness harmful environmental pollution hazards. They are a major contributor to and victim of climate change and related disasters. Sustainable urban development strategies should be matched by adequate investment and resources.
49 50 51 52	The Cities Alliance is a global partnership for urban poverty reduction and the promotion of the role of cities in sustainable development. It aims at supporting cities, local and national governments and their partners ¹ in the developing world in addressing the above challenges to capture the gains of urbanization and taking advantage of the above opportunities, for the benefit of their citizens.

¹ Partners include civil society organizations, NGOs, university and research institutes, private enterprises, etc.

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Cities Alliance	Objectives
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- 57 Cities Alliance is governed by three over-arching objectives:
 - To strengthen and promote the role of cities in poverty reduction, and in sustainable development;
 - To capture and strengthen the synergies between and among members and partners; and
 - To improve the quality of urban development cooperation and lending.
- The Cities Alliance is primarily a vehicle for partnership, seeking to improve the quality and coherence of
- 63 support being provided to city and national governments in the developing world, as well as the quality
- of members own urban programmes. To this end, the Cities Alliance will not develop separate
- 65 implementation capacity, but works through the existing capacity of its members, as well as
- development partners, to promote the vision of 'Sustainable Cities without Slums'. To achieve this
- of vision, the Cities Alliance will promote new partnerships between local and national government, slum
- dwellers, the private sector, NGOs and development partners.
- The Cities Alliance prioritizes support to cities, local authorities, associations of local authorities and/or national governments that are committed to:
 - a. Improving their cities, and local governance, for all residents;
 - b. Adopting a long-term, comprehensive and inclusive approach to urban development;
 - c. Implementing those reforms necessary to effect systemic change, and to achieve delivery at scale; and
 - d. Decentralizing resources to empower local government

Cities Alliance Activities

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- Support provided by the Cities Alliance generally falls within the following broad categories:
- 79 Citywide and nationwide slum upgrading programmes;
- 80 City development strategies; and
- National policies on urban development and local government

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Within these broad categories, Cities Alliance members and partners are able to respond to a range of developmental challenges, which are identified as priorities by the city or national government, slum dwellers, the private sector and other partners. City development strategies are generally multi-sectoral and citywide, and can encompass a wide range of priorities, on sustainable urban development

87 88	priorities, including subjects related to three pillars of sustainable development (economic, social and ecological) as well as investments, governance systems and physical implementation.
89	Cities Alliance activities are limited to those countries listed in the OECD Development Assistance
90	Committee's list of Aid Recipients ² , as amended. Any city, national association of cities and/or national
91	government or their partners can approach the Cities Alliance for support, either through one or more
92	members of the Cities Alliance, or through the Secretariat, which will attempt to identify appropriate
93 94	member(s) to provide such support. Cities Alliance members may also apply for support. Cities Alliance will make specific efforts to increase the focus on least developed countries and secondary cities, and to
95	mobilize the expertise of middle income countries. Any programme of assistance by Cities Alliance
96	should be determined by the priorities of the city and its residents, local government association and
97	national government
98	In general, the Cities Alliance offers the following types of support, subject to the availability of funds:
99	Country Programmes
100	Longer-term programmatic support, at a multiple city / national scale.
101	Catalytic Projects
102	Shorter-term activities designed to catalyze change;
103	Knowledge activities
104	Activities designed to fill knowledge gaps at local, national, regional and global levels
105	Communication support, and advocacy
106	Activities designed to improve awareness of relevant policies or activities, influencing policies and
107	behaviour and contribute to dynamic local, national, regional and global debates.
100	Membership of Cities Alliance
108 109	Membership of Cities Amance
110	Cities Alliance membership is open to national governments, the global organized representatives of
111	local authorities (represented by UCLG and Metropolis), international networks of organizations
112	engaged in urban development and other partners.
113	There are two categories of membership (a.) full members and (b.) associate members.
114	Consultative Group (CG) full members include government representatives, multi-lateral organizations,
115	local government representatives (thru UCLG), and international networks of organisations.

² The DAC List of Aid Recipients, as updated from time to time, can be found on the OECD homepage: http://www.oecd.org/document/45/0,3343,en_2649_34447_2093101_1_1_1_1,00.html

116 117 118	partners are invited to participate in the CG sessions. They are entitled to participate in the CG session without direct participation in the decision making process.
119 120	Similar partners interested in Cities Alliance activities may be invited by the Co-Chairs to CG attend CG sessions as Observers and to participate in the Policy Advisory Forum without fees.
121	The current membership of the Consultative Group (as of 30 June 2010) is listed as Annex I ;
122 123 124 125	Prospective full members and associate members of the Cities Alliance can apply for membership of the organization, provided they (i.) are sponsored by 3 (three) members of the Consultative Group; (ii.) endorse the Cities Alliance Charter and (iii.) undertake to meet their financial contributions to the Cities Alliance Trust Fund
126 127 128 129	Their applications are reviewed by the Executive Committee for recommendation to the Consultative Group. With the exception of UCLG and Metropolis, all members will be required to make a financial contribution to the core funds of the Cities Alliance, according to the Schedule of Contributions listed as Annex II. The CG, upon recommendation by EXCO, may decide upon other exceptions to the rule.
130 131	Members that fail to make their agreed financial contribution for two consecutive financial years will no longer be members of the Consultative Group, and will be notified by EXCO.
132 133	Governance of the Cities Alliance
133	Governance of the Cities Alliance The Cities Alliance is comprised of four structures:
133 134 135 136 137 138	
133 134 135 136 137	The Cities Alliance is comprised of four structures: 1. The Consultative Group (CG) 2. The Executive Committee (EXCO) 3. The Policy Advisory Forum (PAF); and
133 134 135 136 137 138 139	The Cities Alliance is comprised of four structures: 1. The Consultative Group (CG) 2. The Executive Committee (EXCO) 3. The Policy Advisory Forum (PAF); and 4. The Secretariat

146147148	The Consultative Group is co-Chaired by the Founding Members of the Cities Alliance: the World Bank and UN-Habitat and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the World Bank and UN-Habitat to the Cities Alliance: the Cities		
149 150	1.1 Primary Duties of the Consultative Group		
151	The CG's primary duties are to:		
152	i. Adopt, and amend, the Charter;		
153	 Approve the long and medium term strategic direction of the organization; 		
154	iii. Approve the criteria to be used in selecting and approving CA activities;		
155	iv. Review and evaluate the overall performance of the Cities Alliance;		
156	v. Establish the membership fees for different membership categories;		
157	vi. Confirm pledges and help raise additional resources;		
158	vii. Appoint an Executive Committee;		
159	viii. Appoint the Chairperson of the Policy Advisory Forum;		
160	ix. Approve the application of new CG members; and		
161	x. Decide on the location of the Secretariat.		
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163 164	2. The Executive Committee		
165 166	The CG appoints an Executive Committee, and delegates the following powers and functions to the Committee. The Executive Committee is accountable to the Consultative Group in all matters.		
167 168	2.1 Composition of the Executive Committee		
169 170	The Executive Committee is comprised of a combination of Permanent Members Rotating members and non decision-making, ex-officio Members, <i>viz.</i> ,		
171	Permanent Members:		
172	UCLG, UN-Habitat and World Bank		
173	Rotating Members:		
174	Two representatives from external support countries/agencies		
175	One developing country representative		
176	Two other members, elected by the CG		

³ Represented by the Vice-President of Sustainable Development, or his/her designated representative ⁴ Represented by the Executive Director or his/her designated representative

177	Non Decision-Making and Ex-Officio Member		
178	Manager of the Secretariat		
179 180	The Chairperson of the PAF may be invited to participate in the discussion of the EXCO in an advisory capacity.		
181 182 183	Rotating members are elected by the CG for three year terms, appointed on a staggered basis. The UCLG representative acts as the Chairperson of the Executive Committee. If, for any reason, the UCLG delegate is not available, EXCO members will elect a Chairperson for that meeting.		
184 185	2.2 Primary Duties of the Executive Committee		
186	The EXCO's primary duties are to:		
187 188 189 190 191 192 193 194 195 196	 i. Provide guidance to the Secretariat on matters of policy and strategy; ii. Approve the annual work plan and budget of the Cities Alliance, including that of the Secretariat, which will be put to the CG for ratification at its next meeting, monitor progress, and make recommendations to the CG; iii. Approve an annual work plan and budget for the Policy Advisory Forum; iv. Participate in the selection of the Manager of the Secretariat⁵; Approve any procedural manuals produced by the Secretariat; v. Identify and select countries for country programmes; vi. Nominate the Chairperson of the Policy Advisory Forum; vii. Undertake such duties and responsibilities delegated by the CG; and viii. Consider applications for membership and make recommendations to the Consultative Group. 		
198 199	The Executive Committee meets as often as necessary, but no less than twice per year. It has the authority to form Working Groups. One of the EXCO meetings precedes the CG meeting.		
200 201	Absence at two consecutives meetings can result in removal from the EXCO. EXCO will then make a recommendation for replacement to the CG.		
202 203	3. The Policy Advisory Forum		
204 205	The Policy Advisory Forum is the Cities Alliance platform for public discussion, debate and knowledge sharing		
206 207 208	The Forum promotes dialogue between CG members and invited partners on key policy and strategic issues of city and urban development, and advises the Cities Alliance on appropriate policies and strategies.		

⁵ The Manager of the Secretariat is selected and appointed by the World Bank in accordance with World Bank policies and procedures. The Executive Committee participates in endorsing the position's terms of reference and is involved in shortlisting and interviewing candidates.

209210211	The PAF has a Chairperson for a period of three years. In formulating the work programme and activitie of the PAF, the Chairperson will work in close consultation with the Chairperson of the EXCO, and the Manager of the Secretariat.		
212	The PAF provides a platform for members of the Cities Alliance and a range of partners, including:		
213	Country partners of the Cities Alliance, particularly cities and local authorities		
214	Universities and training institutions		
215	Non-governmental organizations		
216	• Foundations		
217	Private sector organizations.		
218 219	The main PAF meeting immediately precedes the annual meeting of the Consultative Group. The PAF can also be convened at a country or city level.		
220	The PAF reports to the CG through its Chairperson		
221			
222 223	4. The Secretariat		
224	The Secretariat carries out the Cities Alliance mandate, and manages its day-to-day operations. A		
225226227	primary function of the Secretariat is to actively facilitate the participation of members in the activities of the organization. It also provides appropriate services to its members, and generally facilitates the work of the Partnership		
228	4.1 Institutional Set-up		
229	The Secretariat is administered by and within the World Bank on behalf of the members. The Cities		
230	Alliance secretariat manager and staff are part of the Finance, Economics & Urban Development		
231	Department of the Sustainable Development Network, Vice Presidency of the World Bank. This set-up		
232	will be reviewed by the CG as needs occur.		
233			
234	Cities Alliance secretariat staff are World Bank staff members recruited and managed according to		
235	World Bank policies. The secretariat may accept staff members on secondment from other organizations and through junior professional and similar programmes.		
236237238	and through jumor professional and similar programmes.		
239	4.2 Primary Duties of the Secretariat		
240	Under the overall direction of the Manager, the Secretariat has the following duties and functions:		
241	 Facilitate member involvement in the activities of the Cities Alliance; 		
242	ii. Screen and evaluate project proposals, in accordance with criteria approved by the CG;		

243	iii.	Provide secretariat services to the CG, EXCO and PAF;
244	iv.	Prepare and present medium and long term strategies, for consideration by EXCO and
245		the CG;
246	٧.	Mobilize support to the CG and EXCO in fundraising on behalf of the Cities Alliance;
247	vi.	Maintain a database of existing projects of the Cities Alliance;
248	vii.	Maintain appropriate procedures and ensure sound financial management;
249	viii.	Monitor the implementation of projects and disseminate lessons learned from CA and
250		other activities, including an Annual Progress Report;
251	ix.	Draft the Work Programme and budget for consideration by EXCO, and administer
252		funds; and
253	х.	Prepare and present annual status reports on progress and results.
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	Desirable	and Incommittee
256	Privileges	and Immunities
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258	Nothin	g in this Chair is intended to be a waiver of, or impair or limit, any privileges or
259		ities of any [member] under its respective Articles of Agreement or equivalent
260		ents, or any applicable law, all of which are expressly reserved.
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264 In Alphabetical Order: 265 266 267 Australia Brazil 268 269 Chile 270 Ethiopia 271 **European Commission** 272 France 273 Germany 274 Habitat for Humanity International 275 Italy 276 Metropolis 277 Netherlands 278 Nigeria 279 Norway 280 Philippines Slum Dwellers International 281 282 South Africa Spain 283 284 Sweden 285 World Bank 286 United Cities and Local Governments (UCLG) 287 United Nations Environment Programme (UNEP) 288 **UN-Habitat United Kingdom** 289 **United States of America** 290

Annex I: Members of the Cities Alliance (30 June 2010)

Annex II: Schedule of Membership Fees⁶

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295	Multilateral Organizations:	\$250,000 ⁷
296	External Support Agency/ Countries:	\$250,000
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298	Developing Country Governments:	\$ 50,000
299		
300	International Non-Governmental Organizations and Networks:	\$ 50,000
301		
302	Foundations, Private Sector and Universities:	\$ 250,000

⁶ These fees constitute the core resources of the Cities Alliance. Members are encouraged to contribute more than the minimum core resources (not earmarked). Any non-core resources (earmarked for specific activities) should be aligned with the CA mandate and priorities.

⁷ For UN agencies, this can be combined as \$100,000 cash, and \$150,000 in-kind (e.g. Staff secondment)