

Urban Development and Rehabilitation Strategy for City of Abomey

CDS

Sub-Saharan Africa – Benin

Summary

The purpose of this activity is to develop, in a participatory manner, a strategy for urban development of the commune and rehabilitation of the city of Abomey that includes: a participatory assessment of key issues and opportunities; a land-development framework; and the clear articulation of a 10-year intervention program, including a priority action plan for poverty reduction in urban areas, tourism development, and achievement of the MDGs.

Objectives

Key objectives of the activity include:

- Setting up a consultation mechanism for stakeholder participation;
- Identifying the problems, potential benefits, and needs in order to better adapt the strategic options to the expectations expressed (participatory methods and shared strategic vision);
- Setting out the strategic development thrusts for the commune as a base for negotiating with development partners;
- Preparing a special rehabilitation program for the historic city of Abomey taking into account its cultural and tourism potential; and
- Preparing a special program for the improvement of basic infrastructure and urban services in the outlying districts.

Activities

- Establishment of a coordinating committee and technical unit;
- Development of a communication strategy;
- Participatory assessment of the situation in the framework of an urban economic profile— i.e. priority problems and hindrances;
- Thematic studies and/or consideration of existing studies;
- Definition of strategic development thrusts for the commune;
- Participatory articulation and approval of the commune's urban development strategy;
- Operationalization of the strategy—short /mid-term action plans;
- Training of the stakeholders involved; and
- Documentation – urban forum on knowledge sharing.

Submission

Submitted by: Mayor of Abomey, Ministry of Urban Development, Social Housing, Land Reform and Coastal Preservation, UN-HABITAT

CA Sponsor: UN-Habitat, AFD, UNDP, World Bank, MDP, European Commission

Implementation

Implemented by: UN-HABITAT, Commune of Abomey
CA Monitor: Pascale Chabrilat

Budget and Time

Request to CA: \$ 250,000
Co-financing: \$ 75,000
Total Budget: \$ 325,000

Duration:
18 months

Expected Impact and Results

- Design of the strategy for the urban development of the commune and the rehabilitation of the city of Abomey;
- Development of a ten-year action plan, as well as a financial evaluation and a five-year priority intervention program;
- Establishment of institutional and regulatory tools to better manage the development of the commune of Abomey and improve living conditions in the outlying districts in particular; and
- Strengthening of institutional capacities (for communal project management) and community capacities (decision-making, improving local governance).

National program for slum upgrading - Cities of Mali without Slums

Slum Upgrading

Sub-Saharan Africa – Mali

Summary

The purpose of this activity is to support the development and implementation of the Cities of Mali without Slums Program, aimed at reducing and upgrading unauthorized areas of substandard settlements in the District of Bamako and other municipalities of Mali, so as to achieve the Millennium Development Goals (Goal 7, Targets 10 & 11).

Objectives

Specific objectives include:

- Assess the results of implementation of the National Housing Strategy and issue concrete proposals for implementing the recommendations made for addressing MDGs nationwide;
- Develop, on a participatory basis, the National Malian Cities Without Slums Program, including M&E arrangements;
- Identify opportunities to mobilize public and private investment in order to meet the stated objectives;
- Build the capacities of MHU, DNUH, OMH, ACI, and municipalities with respect to preventing and upgrading slums;
- Document and disseminate the lessons and practices learned.

Activities

- Assessment of the National Housing Strategy (1995-2005);
- Analytical documentation of the phenomenon of unauthorized substandard settlements;
- Development of the strategic directions of the National Malian Cities Without Slums Program;
- Preparation of the action plan to make the strategy operational;
- Mobilization of financial resources required for implementation;
- Establishment of a communication and internalization mechanism to ensure the involvement of stakeholders;
- Execution of a training program for the actors involved; and
- Program capitalization and expansion.

Submission

Submitted by:

Ministry of Housing and Urban Planning, Association of Municipalities of Mali

CA Sponsor:

UN-HABITAT,
World Bank

Implementation

Implemented by: UN-HABITAT, National Directorate of Urban Planning & Housing
CA Monitor: Farouk Tebbal

Budget and Time

Request to CA: \$ 360,000

Co-financing: \$ 275,000

Total Budget: \$ 635,000

Duration:

24 months

Expected Impact and Results

- Increased awareness among local actors by following a strategic approach: national action plan to upgrade and reduce in the medium term (10 years) areas of unauthorized substandard settlements (local action plans in the municipalities and cities involved in the program);
- Upgrading and actual reduction of unauthorized substandard settlements in Mali (contribution to achieving the MDGs—Goal 7, Targets 10 and 11 before 2020) and meaningful improvement in the living conditions of poor neighborhoods; and
- Establishment of an appropriate and sustainable institutional and regulatory mechanism to prevent and reduce substandard settlements.

Strengthening the South African National Upgrading Support Programme

Sub-Saharan Africa - South Africa

Slum Upgrading

Summary

The project aims at strengthening the National Upgrading Support Programme of the South African government by assisting the National Department of Housing in the establishment, the organisation and the functioning of a coordination unit in charge of the implementation of the Programme.

Objectives

- Revising and developing informal settlements policy based on lessons learned from pilot projects and international experience;
- Developing a national strategy and programme for the roll-out of the informal settlements programme at scale, including designing appropriate institutional frameworks for implementation;
- Refining policy and implementation frameworks for informal settlement upgrading at provincial levels, including drawing up guidelines and manuals;
- Designing a national capacity building programme for informal settlement upgrading;
- Developing instruments for private sector investment in informal settlement upgrading; and
- Developing systems for monitoring, evaluation and reporting.

Activities

- Technical assistance will be provided to provincial housing departments (or accredited local authorities) to evaluate applications, to provide technical support in project preparation and planning and to administer housing funds.
- Other areas of technical support will be financial planning, management, business planning, and project management.
- The support offered will be tailored to the specific needs of the recipients which will include a mix of metros, smaller cities and peri-urban areas.

Submission

Submitted by:

Department of Housing,
Government of South Africa

CA Sponsor:

World Bank
USAID

Implementation

Implemented by: Depart. of
Housing, Gov't of South Africa

CA Monitor: Farouk Tebbal

Budget and Time

Request to CA: \$ 500,000

Co-financing: \$ 1,386,865

Total Budget: \$1,886,865

Duration:

Time: 24
months

Expected Impact and Results

- Clearly identified roles and actors for implementation of NUSP in each sphere of government;
- Adoption of a systematic and comprehensive programme enhancing the effectiveness and speed of housing delivery;
- Enhanced cooperation with other key national departments such as Department of Land Affairs' Registry of Deeds and Department of Provincial and Local Government
- In future well located settlements are upgraded and higher densities are achieved using sustainable and systematic methodology; and
- In future extension of appropriate and flexible credit for the poor thereby improving access to housing.

Establishment of Ethiopian Cities Network Preparatory Grant

CDS/Slum Upgrading

Sub-Saharan Africa – Ethiopia

Summary

The purpose of this preparatory activity is to support first knowledge exchange experiences among the cities of Ethiopia and the establishment of the Ethiopian Cities Network (ECN). The objective of the ECN is to provide a forum for member cities to exchange experiences on improvement and implementation of strategic urban planning processes and innovative approaches for pro-poor urban development.

Objectives

- The establishment of the Ethiopian Cities Network (ECN) , contributing towards structured urban development, economic growth and reduction of urban poverty by introducing and supporting member cities to elaborate and implement coordinated and coherent plans and strategies following the concepts and principles of City Development Strategies.
- A system for effective partnership and knowledge sharing about good urban governance among the member cities and between the federal, regional and local spheres of government is established to overcome challenges of pro-poor urban development.

Activities

- Kick off workshop for the preparation of the ECN;
- Clarify and mediate the expectations of the different participating cities;
- Assess legal conditions for foundation of an independent body and prepare establishment of a secretariat;
- Clarify the mode of operation of an ECN knowledge exchange;
- Explore possibilities to feed the cities' common concerns and interests back into the national urban agenda;
- Define the financing modalities of upcoming projects;
- Draft the application for the follow-up phase;
- Legal establishment of the ECN and preparation of bylaw.

Submission

Submitted by: Mayors of Mekelle, Dire Dawa, Gondor, Harrar, Awassa, Shere Ende Sellase, Addis Ababa, Dilla

CA Sponsor:
GTZ, World Bank,
Min. of Works and
Urban Development

Implementation

Implemented by:
GTZ
CA Monitor:
Pascale Chabrilat

Budget and Time

Request to CA: \$ 74,000
Co-financing: \$ 50,000
Total Budget: \$ 124,000

Duration:
6 months

Expected Impact and Results

- Cities strengthen their role in the governmental system by increasing participation and dialogue between cities and other stakeholders of urban development at the federal, regional and local level.
- Cities show improved performance in urban governance, service and infrastructure delivery especially for the urban poor based on innovative strategies for city development and slum prevention/upgrading.

Preparation of State of the Cities Report for Nigeria

CDS

Sub-Saharan Africa – Nigeria

Summary

The purpose of this activity is to establish the Nigerian Cities Network as a major city organ for promoting good governance, economic integration of cities, information dissemination and knowledge management, interactions and networking among cities. This process will ultimately lead to the production of a State of the Cities Report for Nigeria, that will investigate the real and potential contributions of large urban centers to the economy of the country.

Objectives

- Prepare and adopt a strategy for Nigerian Cities Network and build consensus among stakeholders;
- Assess and analyze the cities' performance;
- Develop and adopt innovative tools for rapid assessments, incl. utilization of GIS and remote sensing;
- Analyze strategic challenges facing Nigerian cities;
- Advance strategies for enhancing the contribution of cities towards sustainable development, economic growth and poverty reduction;
- Promote partnerships and linkages between the national, state and local governments and the private sectors to support improved pro-poor governance of large cities; and
- Disseminate best practices of Nigerian cities.

Activities

- Set Up: establish National Steering Committee, a Project Secretariat within the Ministry, and key stakeholder groups in each of the 20 cities; review and assess quality/reliability of data and identify gaps; develop GIS tools; train in GIS applications and generate GIS data.
- Primary Data Collection / Assessment: identification of city's drivers, scanning and scoping using participatory rapid assessments.
- Reporting / Dissemination: produce synthesis of data into profiles for 20 cities examining present and emerging trends; synthesize city profiles to national profile, identifying common strategic challenges and introducing national/internat'l best practices; develop local databanks; produce CD with all information; hold National Conference; disseminate information about rapid assessment and GIS as tools for strategic planning

Submission

Submitted by:

Federal Ministry of Environment, Housing and Urban Development

CA Sponsor: UN-HABITAT, World Bank, ALGON, USAID, UNDP, South African Cities Network

Implementation

Implemented by: Federal Ministry of Environment, Housing and Urban Development, FDI, UN-HAPSO
CA Monitor: Pelle Persson

Budget and Time

Request to CA: \$ 250,000
Co-financing: \$ 165,000
Total Budget: \$ 415,000

Duration:
15 months

Expected Impact and Results

- Provide a basis for effective management and cross-fertilization for Nigeria's large cities
- Assist in the development of CDSs, including economic development, effective responses to HIV/AIDS, benchmarking performance, cities' infrastructural issues and inter-governmental fiscal performance.
- Provide a forum for cities to interact with each other and therefore enhance the exchange of experience and best practices among the participating cities.

Sub-Saharan Africa - Swaziland

Summary

The Government of Swaziland is in the process of establishing a “single countrywide system of local government” and seeks Cities Alliance support to apply CDS principles in defining the parameters of the new local government system.

Objectives

The overall objective of this proposal is to support the Government of Swaziland through the Ministries of Housing and Urban Development and Justice and Constitution Affairs and the office of the Deputy Prime Minister in defining a system of local government that fully incorporates CDS principles of productivity, inclusion, sustainability, and good governance.

A “single countrywide system of local government” would be in accordance with Swaziland’s new constitution approved in 12/2005.

Activities

- Identification & analysis: areas of investment & productive growth
- Demographic and sustainability analysis
- Analyse governance models for integration of traditional and local government authorities
- Assess fiscal implications of new local government jurisdictions & identify policy mechanisms to bridge the “urban/rural dichotomy”
- Develop consensus on basic principles to guide the design of CDS-centered local government system
- Complete the systems design and establish local governments according to the principles
- In parallel, develop monitoring indicators and plans.

Submission

Submitted by:
Ministry of
Housing & Urban
Dev, PMO

CA Sponsors:
World Bank,
USAID

Implementation

Implemented By:
World Bank
CA Monitor:
P. Persson

Budget and Time

Request to CA: \$ 235,000
Co-financing: \$ 40,000
Total Budget: \$ 275,000

Duration:
18 months

Expected Impact and Results

- Shape the design of a new local government system, including jurisdictions and the associated fiscal framework.
- Improved municipal service provision, sustainable investment and land use planning.

City Development Strategy for Greater Lomé

CDS

Sub-Saharan Africa – Togo

Summary

The proposed activity is the preparation of a CDS for metropolitan Lomé (including the city and six surrounding districts) comprising a participative diagnostic of the current situation, a territorial development framework for the next 20 years and a 10-year action plan.

Objectives

- Conduct a participative diagnostic and local consultations to determine (i) the key urban issues related to: the state of infrastructure, mobility, deficiencies in basic service delivery, municipal finance, the environment, SWM, pollution, crime, storm water drainage, provision of water and electricity, housing, etc.; and (ii) to identify strengths and opportunities.
- Elaborate a 20-year territorial development framework.
- Define a program of interventions, including a priority action plan to reduce urban poverty and to realize the MDGs, which includes a financing strategy, implementation plan and monitoring and evaluation mechanisms.

Activities

- Establish a Steering Committee and Technical Cell.
- Develop a communication and consultation strategy.
- Conduct a detailed, participative diagnostic.
- Establish thematic working groups for preparation of the strategy.
- Conduct thematic studies and/or use existing studies where possible.
- Internalization and validation among stakeholders of strategic sectoral studies to define the priority axes of development.
- Develop an Urban Economic Profile and a plan for economic development of the metropolitan area and integrate with the CDS.
- Analyze the institutional framework and capacities of local actors.
- Operationalize the strategy—develop short and medium-term action plans, prepare financing strategy and define M&E mechanisms.

Submission

Submitted by: Mayor of Lomé, Ministry of Cities and Urban Planning (MVU), Association of Communes of Togo (UCT)

CA Sponsor:
AFD, UNDP, World Bank, UN-HABITAT

Implementation

Implemented by: City of Lomé, MVU, UN-HABITAT, UCT, Citafric
CA Monitor: Pascale Chabrilat

Budget and Time

Request to CA: \$ 370,000
Co-financing: \$ 305,000
Total Budget: \$ 675,000

Duration:
18 months

Expected Impact and Results

- Improved institutional framework for the metropolitan area, enabling a more integrated effort to reduce urban poverty.
- Reinforcement of the role of local stakeholders in urban development and improved participatory mechanisms for urban management.
- More strategic investments and a better understanding of the relationship of costs to benefits and the needs of vulnerable and at-risk populations.
- Rational allocation of local resources (financial, technical and human) necessary for the operation and maintenance of infrastructure.
- Identification and better understanding of the challenges of the metro area and of proposals for addressing the challenges and improving the urban area.

East Asia and the Pacific – Indonesia

<p>Summary</p>	<p>The purpose of the preparatory grant is to prepare a proposal for submission to Cities Alliance with the aim of adjusting and updating CDS-inspired procedures to converge with evolving governance systems and to allow for more public investments in more sectors in more cities.</p>			
<p>Objectives</p>		<p>Activities</p>		
<p>Specific objectives of the proposal are to:</p> <ul style="list-style-type: none"> ▪ Adjust the process to better proceed from planning to budgeting, both at national and local levels; ▪ Develop a better procedure for the application of concessional investment funding from local authorities to the MOF; ▪ Support cities in preparing more detailed and clearly targeted pro-poor strategies and action plans currently seen as too general; ▪ Further detail out city investment priorities and link these with broader investment opportunities than one World Bank loan vehicle. 		<ul style="list-style-type: none"> ▪ Conduct consultations with key city and government supporting agencies and stakeholders on key issues to be addressed; ▪ Provide a brief overview of urban and regional development issues in selected provinces and cities, and of lessons learned from CDS1 and other development initiatives made hitherto; ▪ Hold consultations with international agencies and donors (incl. ADB, WB, EU, GTZ, JICA and others) to coordinate activities and build collaborative partnerships focused on participatory urban governance; ▪ Develop a grant proposal for CA funding; and ▪ Agree on institutional arrangements and drafting of TORs. 		
<p>Submission</p>		<p>Implementation</p>	<p>Budget and Time</p>	
<p>Submitted by: Ministry of Public Works</p>	<p>CA Sponsor: UN-HABITAT, World Bank</p>	<p>Implemented by: UN-HABITAT CA Monitor: Pascale Chabrilat</p>	<p>Request to CA: \$ 75,000 Co-financing: \$ 55,000 Total Budget: \$130,000</p>	<p>Duration: 6 months</p>
<p>Expected Impact and Results</p>				
<p>Renewed efforts by national authorities and related stakeholders to improve procedures allowing more applications from local authorities for urban investments to be successful.</p>				

Transformation of Mumbai into a World Class City – Phase II

CDS/Slum Upgrading

South Asia – India

Summary

During Phase I, Cities Alliance supported the establishment of the Mumbai Transformation Support Unit (MTSU) to provide support for developing and implementing a strategy to Transform Mumbai into a World Class City. The objective of Phase II support is to further develop public consensus and/or technical details needed to implement the reforms and investments identified during Phase I.

Objectives

- Establishment of metropolitan governance institutions.
- Design of the Development Charge system, incl. rate, revenue sharing.
- Adjustment of FSI and attendant development control regulation regime.
- Design and pilot implementation of slum tenure reform.
- Planning of regional infrastructure and services.
- Development of the state housing policy, incl. revised policies for slums.
- Corporatization of public services.
- Strengthening consultation/communication for broader citizen participation in MTP.
- Establishing a Mumbai Observatory to improve monitoring and planning.
- Evolving a strategy to address climate change and mitigate environmental impacts of all development projects under the transformation agenda.

Activities

During Phase I, a broad framework and key priorities were established and the next steps are to carry out the specific priority actions through necessary studies, planning, and consultation as well as broadening and maintaining the overall public support.

Most of the priority actions, listed at left, require detailed work that must be carried out by line agencies with substantial resources. For each of the nine priority action areas, the activities to be carried out by MTSU with the Cities Alliance support primarily consist of framing studies, planning and consultation to establish the direction and parameters of such detailed work to be carried out, after the review and endorsement by the Empowered Committee, by the line agencies and to ensure coordination among them.

Submission

Submitted by:
Government of Maharashtra

CA Sponsor:
World Bank, USAID

Implementation

Implemented by: Gov't of Maharashtra & AIILSG
CA Monitor: P. Chabrilat

Budget and Time

Request to CA: \$ 500,000
Co-financing: \$3,900,000
Total Budget: \$4,400,000

Duration:
36 months

Expected Impact and Results

- The long-term intended impact of the transformation process is the enhanced economic vitality and quality of life in Mumbai Metropolitan Region.
- The transformation process is expected to result in a gradual reduction of the most extensive slums in the world.

South Asia - Sri Lanka

<p>Summary</p>	<p>This activity is designed to support Government efforts to institutionalise participatory urban governance approaches and assist selected Municipal Councils to consolidate and institutionalise participatory urban management mechanisms in view of preparing large scale settlements' upgrading action plans.</p>		
<p>Objectives</p>		<p>Activities</p>	
<ul style="list-style-type: none"> ▪ To build coherence of effort amongst various international support programmes in support of Government efforts to institutionalise participatory urban governance approaches; ▪ To assist the Municipal Councils of Ratnapura, Kotte and Batticaloa to consolidate and institutionalise participatory urban management mechanisms and partnership approaches; ▪ To prepare settlements' upgrading action plans to mobilise follow-up investments in Sustainable Settlements Upgrading and Poverty Reduction; ▪ To support institutionalisation of the lessons learned and help replicate lessons and practices learned. 		<ul style="list-style-type: none"> ▪ Three Municipal Councils to institutionalise participatory mechanisms and processes developed and learnt through earlier initiatives of the NSHSDP; ▪ Support three cities to formulate appropriately designed and widely partnered pro-poor settlements upgrading strategy; ▪ Identify priority settlement for immediate action planning support; ▪ Support city/community partnership implementation of Settlement Upgrading Action Plans; ▪ Document good practices and knowledge products to support up-scaling of the experiences and integration into national policy. 	
<p>Submission</p>		<p>Implementation</p>	<p>Budget and Time</p>
<p>Submitted by: Ministry of Urban Development and Water Supply; Min. of Provincial Councils & Local Gov.; Mayors Assoc. of Sri Lanka; Sri Lanka Assoc. of Municipal Commissioners</p>	<p>CA Sponsor: UN-Habitat, ADB, World Bank, USAID, CIDA, FCM</p>	<p>Implemented by: Min of Urban Dev & Water Supply CA Monitor: Farouk Tebbal</p>	<p>Request to CA: \$ 500,000 Co-financing: \$ 980,000 Total Budget: \$ 1,480,000 Duration: 2 years</p>
<p>Expected Impact and Results</p>			
<ul style="list-style-type: none"> ▪ Improved physical and environmental conditions in low-income settlements; ▪ Confidence building and empowerment of local authorities and poor communities; ▪ Improved capacities of local governments, and other stakeholders in a variety of skills such as community action planning, poverty profiling and community contracts; ▪ New and proven leading practices and mechanisms for pro-poor shelter upgrading, informal sector integration and environment improvement. 			

Improvement of Living Environment in Flood Prone Slum Areas Slum Upgrading/CDS

East Asia and Pacific – Cambodia

Summary	The main objective of this activity is to promote human development of urban poor dwellers in selected Cambodian cities through developing pro-poor environment policies and strategies, as well as building the capacities of urban poor communities and local governments in disaster management.
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Objectives	Activities
<p>The specific objectives of the project are to:</p> <ul style="list-style-type: none"> a) build capacities of selected urban poor communities and city governments to manage disasters; b) develop and further strengthen effective, pro-poor citywide strategies on waste treatment and solid waste management; and c) provide inputs to strengthen the policy environment for the urban poor. 	<ul style="list-style-type: none"> ▪ Selection of 5 target cities by joint selection committee; ▪ Preparation of inputs to Slum Management Policies and Strategies; ▪ Assessment of Safe Sanitary Requirement; ▪ Feasibility Study on Household Domestic Wastewater Treatment Facilities; ▪ Feasibility Study for Provision of Community Based Composting; ▪ Key Stakeholder Public Awareness Workshops; ▪ Citywide Pro-Poor Slum Environment Improvement Strategy Formulation.

Submission		Implementation	Budget and Time	
<p>Submitted by: Ministry of Environment</p>	<p>CA Sponsor: Japan, UN-Habitat</p>	<p>Implemented by: Ministry of Environment, CSARO, UNCRD, Khemarak University, UN-Habitat CA Monitor: Farouk Tebbal</p>	<p>Request to CA: \$160,000 Co-financing: \$ 51,000 Total Budget: \$ 211,000</p>	<p>Duration: 24 months</p>

Expected Impact and Results
<ul style="list-style-type: none"> ▪ Improved coherence among agencies involved in various aspects of urban development; strengthened environmental inputs to the national policy discussions. ▪ Improved policy environment for the urban poor from the perspective of environmental improvement, disaster management, and life improvement ▪ Effective formulation of local waste management policies. ▪ Contribution to the future reduction of waste discharge to water bodies and coastal waters in flood prone areas. ▪ Change in people's behavior promoted through educating them on the safe and proper treatment of waste and health in flood-prone areas.

Review of CDS Program & Preparation of State of Cities Report

CDS/Slum Upgrading

East Asia and the Pacific – Philippines

Summary

The activity will build upon the CDS Program in the Philippines, which began in 1998. Based on a strategic assessment of the CDS Program, including documentation of local government innovations to sustain CDS activities, the activity will result in lessons and recommendations for enhancing future phases of the CDS Program. The activity will also prepare a *State of the Philippine Cities* Report, designed to help improve the performance of cities in governance and service delivery.

Objectives

- To draw lessons from the vast experience of the Philippines in implementing the CDS Program by conducting a strategic assessment of the program;
- To enhance the awareness and appreciation of Philippine cities on the benefits of implementing the CDS and sustaining CDS activities, with specific focus on the Marikina Shelter Program;
- To improve the performance of cities in governance and service delivery by preparing and publishing a *State of Philippine Cities* Report, which will serve as basis for follow-up project design, policy formulation and performance-based aid. The report can be used as yardstick for competition in performance among cities.

Activities

- The project has 5 components:
- Strategic Assessment of the CDS Program;
 - Documentation of Local Government Innovations and Good Practices;
 - Preparation and Publication of *State of Philippine Cities* Report;
 - Dissemination and knowledge-sharing; and
 - Replication and Scaling up.

Submission

Submitted by:

League of Cities of the Philippines

CA Sponsor:

World Bank, Housing and Urban Development Coordinating Council

Implementation

Implemented by:

League of Cities of the Philippines

CA Monitor: Pelle Persson

Budget and Time

Request to CA: \$ 160,000

Co-financing: \$ 26,000

Total Budget: \$ 186,000

Duration:

18 months

Expected Impact and Results

- Enhanced CDS content and methodology, improved operational capacity of CDS cities and LCP and growth of interest and demand for CDS;
- Improved city governance and service delivery;
- Increased awareness of and appreciation for shelter and other local government innovations by stakeholders at the local and national levels;
- Improved capacity of LGUs to develop and implement pro-poor shelter development strategies; and
- Sustained efforts in making cities livable, bankable, including a National Planning Framework component on CDS.

Municipal Development Strategies - Azerbaijan

CDS

Eastern Europe and Central Asia – Azerbaijan

Summary

The proposed project will build upon the demand-based process for project identification and implementation established under the Azerbaijan Rural Investment Project (AzRIP) to prepare Municipal Development Strategies in 15 municipalities, develop an MDS Manual, and make recommendations on necessary policy and legal reforms for municipal development within the country's decentralization framework.

Objectives

- Rejuvenating the economy outside the Absheron peninsula and create gainful jobs for the poor by institutionalizing the MDS process through developing a practical manual and by supporting the Permanent Parliamentary Commission for Regional Issues (PPCRI) to draft necessary legal reforms;
- Develop a model and methodological approaches to the MDS process tailored to the capacity of the majority of municipalities;
- Improve municipal finance and develop the technical capacity of municipalities to manage their own assets and deliver sustainable services to local populations; and
- Support the preparation of participatory, strategic plans in selected municipalities.

Activities

- Municipal Resource Profiling and value chain analysis will be conducted in 15 municipalities by local university students;
- Asset Management performance of municipalities will be assessed and recommendations for improvements will be made;
- Legal Review will be conducted to identify legal constraints to municipal development and recommendations made to PPCRI to initiate policy reforms;
- Municipal Development Strategies will be prepared by 15 municipalities using participatory process;
- MDS Guide will be produced based on MDS experiences and disseminated by PPCRI to non-beneficiary municipalities.

Submission

Submitted by: State Agency on Agricultural Credit under the Ministry of Agriculture

CA Sponsor: World Bank

Implementation

Implemented by: Azerbaijan Rural Investment Project, Permanent Parliamentary Commission for Regional Issues

CA Monitor: Pelle Persson

Budget and Time

Request to CA: \$ 210,100
Co-financing: \$ 100,000
Total Budget: \$ 310,100

Duration: 12 months

Expected Impact and Results

- CDS processes mainstreamed as an approach to municipal development;
- Increased capacity for strategic planning based on sound assessment of rigorous data on the local economy and available resources;
- Strengthened municipal planning and asset management;
- More transparent and accountable local governance; and
- Increased local intellectual capacity to better implement the MDS Process as university students participate in data collection.

Brazil: Support of National Housing Plan & Subsidy Policy

Slum Upgrading

Latin America and the Caribbean – Brazil

Summary

The funding requested from Cities Alliance would be designated to a consultant to provide technical assistance to the Ministry of Cities in support of (a) the development of a National Housing Plan, including a National Urban Upgrading Strategy, with time-bound objectives and targets; and (b) a National Housing Subsidy Policy reflecting the need to rationalize the myriad of subsidies embedded in housing programs supported by the Government, including both on- and off-budget subsidies.

Objectives

- Support the development of the National Housing Plan—a concrete action plan with time-bound targets, policy actions, and investments—including a National Urban Upgrading Strategy; and
- Support the preparation of a proposal for a National Housing Subsidy Policy, based on baseline data and analytical work underway to identify and map the existing housing subsidy policies in Brazil. The objective of the national housing subsidy policy would be to develop a well-targeted program to benefit the poor while making the most effective use of public resources (i.e. rightsizing subsidies and leveraging subsidies to maximize owner contribution and market-based lending).

Activities

- During a 12 month period, a consultant will support the following activities:
- Elaboration of a National Housing Plan inclusive of a Slum Upgrading Strategy with time-bound action plans
 - Preparation of a National Housing Subsidy Policy
- Completion and dissemination of the documents is expected to go beyond the 12 month period.

Submission

Submitted by:
Ministry of Cities

CA Sponsor:
World Bank, Italy

Implementation

Implemented by:
Ministry of Cities
CA Monitor:
Farouk Tebbal

Budget and Time

Request to CA: \$ 55,000
Co-financing: \$ 150,000
Total Budget: \$ 205,000

Duration:
12 months

Expected Impact and Results

The Housing Plan and Subsidy Policy will represent a major step forward in the Government's policy objective to guarantee the right to adequate housing for all Brazilians. The documents will comprise concrete, time-bound action plans based on data and consensus among members of a high level Inter-Ministerial Working Group. The TA activities performed by the consultant will provide significant value-added as these documents are integral components of the sector reform program.

Regulation of ZEIS – Special Social Interest Zones in Salvador

Slum Upgrading

Latin America and the Caribbean – Brazil

<p>Summary</p>	<p>The purpose of the activity is to define a group of actions aimed at the regulation of the <i>Areas of Special Social Interest/Special Social Interest Zones</i> (AEIS/ZEIS) in the municipality of Salvador, including (i) the elaboration of general urban legislation (AEIS/ZEIS occupied or urban vacant land); (ii) a social housing project in a vacant urban area and (iii) specific Regularization Plans according to the category of occupied AEIS/ZEIS.</p>		
<p>Objectives</p>		<p>Activities</p>	
<p>The proposal aims to implement actions for regulating the AEIS/ZEIS in Salvador and to replicate these initiatives for all AEIS/ZEIS in the municipality. The actions aim to improve living conditions, combat poverty and introduce control, social and urban management in the various occupied settlements. The specific objectives are to:</p> <ul style="list-style-type: none"> ▪ Review existing urban legislation related to the occupied AEIS/ZEIS in Salvador and to prepare a new proposal; ▪ Review existing urban legislation for the implementation of new social housing projects and to prepare a new proposal; ▪ Complement the actions undertaken by the authorities in four informally occupied AEIS/ZEIS-type consolidated areas with a view to the implementation of the Regularization Plan. 		<ul style="list-style-type: none"> ▪ Review and elaboration of general urban legislation for the AEIS/ZEIS of Salvador. ▪ Revision and elaboration of urban legislation for AEIS/ZEIS on vacant urban land and for the Basic Social Housing Project on urban vacant land in Salvador. ▪ Preparation and review of the Regularization Plan for occupied AEIS/ZEIS in Salvador. 	
<p>Submission</p>		<p>Implementation</p>	<p>Budget and Time</p>
<p>Submitted by: Mayor of Municipality of Salvador</p>	<p>CA Sponsor: World Bank, Italy, Brazil - Ministry of Cities, Caixa Econômica Federal</p>	<p>Implemented by: Municipal Secretariat of Housing, Municipal Secretariat of Urban Planning and Environment CA Monitor: Farouk Tebbal</p>	<p>Request to CA: \$ 470,000 Co-financing: \$ 30,962,000 Total Budget: \$ 31,432,000 Duration: 24 months</p>
<p>Expected Impact and Results</p>			
<ul style="list-style-type: none"> ▪ Institutional: Accelerated approval of new social housing projects, as well as new housing projects and projects for repairs and housing improvements involving SUCOM; Preparation of proposals for capturing financial resources from national and international organizations. ▪ Habitat Improvement: Improved quality of life of the residents with the prospect of interventions and planning for the expansion of the areas and definition of project indicators; Completion of land tenure regularization actions in the municipally-owned area for the ZEIS in Sao Marcos. ▪ Community Organization: Increased involvement of the community in the process of quality of life improvement of the ZEIS. 			

Strengthening São Luís Municipal Development Strategy

CDS

Latin America and the Caribbean - Brazil

Summary

The activity aims to encourage pro-poor growth in São Luis by supporting the Municipality to develop a sustainable development strategy as a key element for promoting equity, better income distribution and economic development that is socially equitable, environmentally sustainable and that respects cultural diversity.

Objectives

- Strengthen the ongoing Municipal Development Strategy (MDS) “Sao Luis + 400 years” by: improving participation of the poor, articulating ongoing municipal, state and federal planning efforts, and establish knowledge sharing mechanisms for disseminating lessons and experiences.
- Develop components for the MDS, including:
 - Local economic and employment development strategy (LEED)
 - Action plan (AP) of the prioritized programs and projects; and
 - Investment plan (IP).

Activities

- PHASE 1 Ensuring Broad Participation: engage all key stakeholders in the planning process, especially representatives of the poor.
- PHASE 2 Strengthening the MDS: assess ongoing MDS process and propose specific actions to strengthen key building blocks of the strategy. Also, the project will support the establishment of a knowledge sharing component.
- PHASE 3 Developing MDS components – complementary strategies: support the preparation of a local economic and employment development strategy, an action plan and the investment plan.
- PHASE 4 Reporting and Institutionalization: develop a knowledge sharing strategy to secure full disclosure of information and become the knowledge resource for other municipalities in the State and Brazil.

Submission

Submitted by:
São Luis Municipal Government

CA Sponsor:
World Bank,
Embassy of Spain

Implementation

Implemented by: São Luis Municipal Government

CA Monitor: Pelle Persson

Budget and Time

Request to CA: \$ 248,500
Co-financing: \$ 90,000
Total Budget: \$ 338,500

Duration:
18 months

Expected Impact and Results

- The project will positively impact the development of new policies to: ensure economic growth with strong social inclusion; support environmental conservation; strengthen public services; and promote competitiveness and a favorable business environment.
- The project will result in blue prints for the implementation of the first component, LEED, of the Bacanga project.

Towards a Neighborhood Improvement Policy

Slum Upgrading

Latin America and the Caribbean - Costa Rica

Summary

To curb the sharp increase of slums in the country and meet MDG Target 11, the Government of Costa Rica has allocated USD\$30,000,000 for the implementation of countrywide neighborhood improvement programmes in 2006-2010. This application aims at supporting this programme with a national slum upgrading policy focusing on citywide slum upgrading activities in select cities, including the capital city.

Objectives

- To develop a national slum improvement policy, that:
- allows central and local governments to carry-out integrated slum improvement programs and projects; and
 - contributes to reducing urban poverty with emphasis on female-headed households.
 - Implementation of pro-poor neighborhood improvement interventions in a priority number of vulnerable communities.

Activities

- Formulation of a national pro-poor policy and strategy for slum improvement that establishes the levels of competence and responsibility of national, provincial and local governments, including a component of effective citizen participation;
- Implementation of neighborhood improvement pilot interventions in 12 districts (sub-municipal division) covering approximately 120 neighborhoods with an estimated 6.000 families.

Submission

Submitted by:
Ministry of Housing and Human Settlements

CA Sponsor: UN-Habitat, FLACMA/ UCLG,

Implementation

Implemented by:
Ministry of Housing & Human Settlements
CA Monitor: F. Tebbal

Budget and Time

Request to CA: \$ 500,000
Co-financing: \$13,485,000
Total Budget: \$14,035,000

Duration:
18 months

Expected Impact and Results

- Improvement in the living conditions of the target communities achieved by the end of the project;
- Create a demonstration effect in neighboring communities and the emergence of spontaneous replication activities;
- Impact on the mobilization of communities and stakeholders for the better implementation of these activities.

Establishment of Urban Management Plan for Montevideo Bay CDS

Latin America and the Caribbean – Uruguay

<p>Summary</p>	<p>This activity sets out to introduce a Montevideo Bay urban management system to facilitate decision-making processes. The system will be action-oriented, based on visions shared by the principle public and private stakeholders, and tailored to local conditions.</p>			
<p>Objectives</p>		<p>Activities</p>		
<p>The project will address three fundamental issues for the sustainable urban development of the Montevideo Bay:</p> <ul style="list-style-type: none"> ▪ More in-depth development of urban governance mechanisms, poverty reduction, encouragement of both vertical and horizontal cooperation, as well as that between public and private stakeholders; ▪ Social cohesion and recovery of environmentally degraded poverty-stricken areas; and ▪ Development of the port and logistical facilities in order to ensure that, in a globalized world, Montevideo remains competitive. 		<ul style="list-style-type: none"> ▪ Core group of experts formed with technical skills needed to implement the urban management system. ▪ A framework set of guidelines prepared for developing the Bay of Montevideo and its surroundings, including shared vision. ▪ A 5-year “road map”, including projects with impact on social integration, environmental restoration, and urban competitiveness. ▪ A working inter-institutional coordination and public-private partnership network established. ▪ An environmental management system, complete with social integration components, in place in targeted areas. ▪ Fund-raising strategy developed. 		
<p>Submission</p>		<p>Implementation</p>	<p>Budget and Time</p>	
<p>Submitted by: Municipality of Montevideo, National Port Authority</p>	<p>CA Sponsor: German, Canadian & Spanish Embassies</p>	<p>Implemented by: Municipality & ATAS (NGO) CA Monitor: Pelle Persson</p>	<p>Request to CA: \$ 500,000 Co-financing: <u>\$ 592,692</u> Total Budget: \$1,092,692</p>	<p>Duration: 24 months</p>
<p>Expected Impact and Results</p>				
<ul style="list-style-type: none"> ▪ Urban management, planning, and strategic development specialists are better equipped to work to alleviate poverty and improve the environment. ▪ Efforts and policies for developing the area are more coherent and professional; this change is recognized by potential investors and lending institutions. ▪ Optimization in the use of scarce resources, accumulation of synergies, and fundraising. ▪ Positive change in the way Montevideo Bay and its urban surroundings are seen, internally and externally. ▪ Stakeholders have better and more timely information and knowledge of initiatives, processes, strengths, and opportunities for developing the area. 				

Haiti Strategy for Slum Upgrading and Urban Development

CDS/Slum Upgrading

Latin America and the Caribbean – Haiti

Summary

The purpose of this application is to seek the support of the Cities Alliance to contribute to sustainable urban development in Haiti through upgrading of slums and urban development strategies based on a participatory process. It promotes pro-poor urban policy by the upgrading of slums in several neighbourhoods, contributes to poverty reduction with a strong emphasis on safety in dangerous and unsafe locations, with a view of scaling up the programme to a national level.

Objectives

- Build the capacity of local stakeholders (municipalities, NGOs, beneficiary organizations, etc.) in the development of plans and programs for upgrading slums and in their execution—management, monitoring and evaluation of projects.
- Promote the development of tools and processes for improving living conditions, controlling the growth of new slums and improving the management of urban services.
- Contribute to the development of an inter-institutional approach and improved coordination of upgrading and development efforts.
- Produce, validate and disseminate implementation strategies so that they can be scaled up.

Activities

The project will be implemented in the 6 municipalities that constitute the Metropolitan Zone of Port-au-Prince, which represents 56% of the total urban population.

Activities will be organized around 4 main workstreams:

- Instruments for slum upgrading and urban development;
- Capacity building and institutional development;
- Collaboration and coordination; and
- Systematization and dissemination.

Submission

Submitted by: Ministry of Planning & External Cooperation (MPCE); Ministry of the Interior & Local Governments (MICT)

CA Sponsor:
UN-HABITAT and World Bank

Implementation

Implemented by: MPCE, MICT, Mayor of Tabarre, assisted by UN-HABITAT
CA Monitor: Farouk Tebbal

Budget and Time

Request to CA: \$ 490,637
Co-financing: \$1,223,748
Total Budget: \$1,714,385

Duration:
18 months

Expected Impact and Results

- Increased capacity among local stakeholders to develop and implement slum upgrading projects.
- Tools and processes that result in improved living conditions, better urban services, and managed urban growth.
- Increased coordination and collaboration among the main local actors responsible for urban development and slum upgrading.
- Replication and scaling up of successful approaches and instruments developed under the project.

Prep Grant for Greater Cairo Metropolitan Development Strategy and City-wide Upgrading

Middle East and North Africa – Egypt

CDS/Slum Upgrading

Summary

The main objective of this preparatory grant is to prepare, discuss and agree on a long-term development framework for the GCMA, which comprises three urban governorates - Cairo, Giza and Qalyubiya, and the New Towns. A full application for Cities Alliance assistance will be prepared by the end of this preparatory phase.

Objectives

- The objective of subsequent phases will be as follows:
- Phase I (2007-08) is to prepare, discuss and agree upon (1) visions, (2) goals, priorities/development scenarios, and (3) programs, alternative strategic options and objectives society.
 - Phase II (2008-09) is to prepare, discuss and agree upon (1) objectives and alternative strategic options, (2) mid-to-long-term Capital Investment Plan (CIP) (3) secure financing and implement “quick-win” investment projects, and (4) design a M&E system, as an outcome of stakeholder consultations among public (national and local) and private sectors and of civil society.

Activities

- Clarify each Governor’s expectations, carefully prepare the process and assure common ownership;
- Create public space, identify partnerships, the width, depth and management of stakeholder consultations and the rules of participation;
- Hold small-scale think-tank workshop on existing situation analysis;
- Analyze growth and expansion scenarios for the Region up to 2021;
- Provide rapid appraisals on local finance capacities and procedures and of upcoming changes in the frame of decentralization;
- Define the modalities of financing of very high priority projects to go in parallel with the CDS- Phases I & II.
- Prepare the application for the CDS subsequent Phase I, define co-financing partners and implementation responsibilities.

Submission

Submitted by: Minister of Housing, Utilities and Urban Development; Governorates of Cairo, Giza and Qalyubiya

CA Sponsor: World Bank, GTZ/KfW, UN-Habitat

Implementation

Implemented by: World Bank, Ministry of Housing, Utilities, Urban Development
CA Monitor: Pelle Persson

Budget and Time

Request to CA: \$ 75,000
Co-financing: \$ 80,000
Total Budget: \$ 155,000

Duration:
7 months

Expected Impact and Results

- The Governors will create a solid and commonly agreed basis for the Greater Cairo CDS process (1st and 2nd phase).
- The Governors will have taken full ownership of the CDS process.
- The funding application for Phase I will be completed and Phase I can begin immediately.

Aleppo City Development Strategy

CDS

Middle East and North Africa - Syria

Summary

Development in Aleppo is guided by a Master plan adopted in 2002 and City Council priorities adopted in 2003. Both will be reviewed and a city development strategy prepared that meets feasibility criteria, funding constraints, and the reform agenda of the next national 5-Year Plan. The strategy will focus on key concerns related to poverty, income and employment, and quality of life concerns in five key areas: local economic development, urban service delivery, the urban environment, urban spatial efficiency, and administrative and financial modernization.

Objectives

- i) To support the formulation of a city development strategy for Aleppo in consultation with local stakeholders;
- ii) To develop institutional capacity that ensures implementation of Aleppo planning priorities; and
- iii) To prepare training material on lessons learned and to support dissemination.

Activities

- 1) Developing a future vision of Aleppo shared by all stakeholders;
- 2) Translating that vision into goals;
- 3) Setting out specific objectives to be achieved;
- 4) Translating those objectives into tangible programs; and
- 5) Developing specific action plans according to the priorities agreed upon through consultation with stakeholders.

Submission

Submitted by:
Mayor of Aleppo

CA Sponsor: GTZ

Implementation

Implemented by:
Aleppo Municipality, GTZ
CA Monitor: Pelle Persson

Budget and Time

Request to CA: \$ 380,000
Co-financing: \$ 800,000
Total Budget: \$ 1,180,000

Duration:
24 months

Expected Impact and Results

- Improved overall performance and efficiency of municipal departments;
- Improved urban planning practices; and
- Improved policies/mechanisms for small scale enterprise and informal sector housing.