

## **Country Programmes Update**

During the last year, the Country Programme in Uganda was consolidated and began demonstrating real results, while in Ghana and Vietnam the programmes were successfully launched, building on the partnerships established and nurtured throughout the design phase. In Burkina Faso and Mozambique, extensive, inclusive design processes led to broad consensus about the shape and scope of the programmes to be rolled out in the coming year.

### **Mainstreaming a Culture of Dialogue in Uganda**

What began as a programme for five Ugandan secondary cities has expanded to include nine additional municipalities that are part of the World Bank's \$130 million municipal support programme, effectively ensuring clear alignment between the objectives of the TSUPU programme and the future investment of government resources. Further evidence of the mainstreaming of the TSUPU programme is the strong institutional management structure established by the Ministry of Lands, Housing and Urban Development. The structure incorporates a wide range of officials, each of whom is responsible for specific activities and linkages with programme partners.

In another important milestone this year, all five participating municipalities successfully launched Municipal Development Forums, following on the continued success of the National Urban Forum and creating a positive environment for national and local level dialogue.

Makerere University completed a restructuring process, bringing together the urban planning graduate degree programme and the Masters programme under the Faculty of Technology. The University has developed the joint City Development and Slum Upgrading Strategy guidelines in a consultative process. On the basis of these guidelines, the planning faculty will play a central role in the rollout of the CDS process to 14 municipalities. This will fundamentally change how planning has traditionally occurred in Uganda with the development of local capacity and the transfer of such capacity to a new generation of young planners.

After successfully launching active Federations of the Urban Poor in all five municipalities, Actogether (affiliated with SDI), has transformed from a small, highly dependent organisation to one that has developed a capacity and excellence in community mobilisation reflected in SDI's selection of Uganda as its Learning Centre. With the support of Actogether, the Federations have completed slum profiles for all five municipalities and enumeration and mapping activities are in progress. The Federations have also started identifying community projects and have proactively engaged local government for planning support and access to land.

A recent evaluation revealed that TSUPU and its approach has a high degree of credibility, support and buy-in from stakeholders. The collaborative process followed in designing the programme and its subsequent implementation has been instrumental in gaining the strong commitment of partners at all levels. Activities undertaken during the set-up phase over the last year have created an enabling environment for the roll-out of the programme. With the mobilisation of communities and the formation of Municipal Development Forums, the preconditions are now in place for both an inclusive national policy formulation and local development process.

It is also worth noting that many of the principles associated with TSUPU are informing programming in Kampala by other actors, with the Federations as the common link. This underscores the fact that TSUPU is not simply seen as a separate programme, but rather is building a culture of dialogue that is becoming a mainstreamed approach to urban issues.

### **Scaling Up Urban Upgrading in Vietnam**

In Vietnam, the groundwork was laid for scaling up urban upgrading efforts to as many as 50 cities. A Vietnam Urbanization Review was completed, providing the analytical foundation for national government to formulate strategic and cohesive urban policies and to guide investment prioritisation – an important evidence base for national dialogue.

That dialogue has begun to take place at the revitalised Vietnam Urban Forum (VUF), anchored within the Urban Development Agency in the Ministry of Construction. With Cities Alliance support, the VUF is seen as the means for strengthening information dissemination and coordination across the urban sector—leading to a fully functional, effective platform for urban sector exchanges and structured dialogue including a wide range of stakeholders. The VUF also aims to mobilise the rollout of the National Urban Upgrading Strategy (NUUP), which is expected to lead to an upgrading approach that is based on partnerships with local governments and urban communities – a significant urban development shift in centralized Vietnam.

Finally, as a result of a preparatory grant to the Association of Cities of Vietnam (ACVN) supported by the Asian Coalition of Housing Rights (ACHR), the operational guidelines have been completed to expand the Community Development Fund (CDF), a network of 30 cities engaged in community-led upgrading activities. The conditions are now in place to consolidate and expand the CDF to an additional 20 cities.

### **Forging Partnerships in Ghana**

In Ghana, four important grant agreements were put in place during the last year, allowing for the effective launch of the Country Programme. These grant agreements were to:

- GIZ in support of the Urban Development Unit in the Ministry of Local Government and Rural Development to develop an inclusive national urban agenda;
- The Institute of Local Government Studies to develop and deliver a municipal leadership training programme; and
- Peoples' Dialogue through SDI to mobilise and build the capacity of organisations of the urban poor.
- World Bank in support of developing a water and sanitation programme for the Greater Accra Metropolitan Area.

While the Ghana Country Programme is national, it places some focus on the GAMA (Greater Accra Metropolitan Area) where a lack of integrated planning results in spatial exclusion of the urban poor and the lack of effective service delivery across municipal boundaries. In pursuit of the objective of building greater cooperation between the 8 municipalities that collectively make up the GAMA a platform for integrated planning is being forged that brings together the municipalities, Community organizations and other development partners and that will leverage

investments including a World Bank investment of \$150 million and strong potential for future investments from French and Dutch sources.

### **Building on CDS and Slum Upgrading in Mozambique**

In Mozambique, a concept note was completed for the Country Programme that builds on the long history of Cities Alliance involvement in that country. Currently the CA has two current active proposals. The first is an ongoing Cities Alliance grant to UN-Habitat to facilitate a CDS and slum upgrading process in the municipality of Nampula. The second is a partnership with the Governments of Brazil and Italy to deconstruct the successful slum upgrading experience of Bahia, Brazil, and to reconstruct the process in Maputo. These two grants will form the basis of the Country Programme and will be linked to the greater urban context as laboratories for learning and roll out. Building on this platform the Mozambique Country Programme plans to invest in a series of grants that aim to i) build a national dialogue on city issues ii) develop municipal government capacity iii) increase municipal revenue and accountability and iv) strengthen civil society.

### **Determining Focus Areas in Burkina Faso**

In Burkina Faso, members and partners agreed on several main focus areas to guide the development of the Country Programme, including, for example, support to the organisation of the National Urban Forum. Along with the Ministry of Housing and Urban Planning, the Association of Municipalities of Burkina Faso (AMBF) will play a key role in implementing the programme, which will focus on the capital city of Ougadougou, the second city of Bobo Dioulasso, and three secondary cities to be selected during the detailed design phase. It was agreed that the programme should aim to roll out the CDS and other experiences previously supported in Ougadougou and Bobo Dioulasso, and to adapt these tools to secondary cities. UN-Habitat was nominated by national partners and CA members to lead the final preparation phase of the programme.

### **Summary of Country Programme Grants**

<b>Country</b>	<b>Name of Grant</b>	<b>Recipient</b>	<b>Amount (USD)</b>	<b>Status</b>
<b>UGANDA</b>	Project Setup (p119727)	World Bank	195,000	in completion
	Transforming Settlements of the Urban Poor of Uganda (TSUPU) (p122475) *	MoLHUD	1,847,000	in disbursement
	Strengthening Urban Poor Communities to Achieve the Goals of the TSUPU (p122475)	SDI/ ACTogether	595,000	in disbursement
	Preparation of Municipal Development Strategies in 14 Municipalities	MoLHUD	450,000	in processing
	Strengthening Urban Local Governments in Uganda to Achieve the Goals of TSUPU (p122475)	ICMA	229,000	in disbursement
	Support to National Urban Policy and Strategic Plan Development (p121015)	MoLHUD	450,000	in disbursement

	Social Tenure Domain Model (p126966)	UN Habitat	75,000	in disbursement
<b>VIETNAM</b>	Strengthening Urban Forum and Operationalising National Upgrading Strategy (p127175)	MOC, World Bank	500,000	in disbursement
	Building Better Cities through Better City Managers	AMCC,WBI	300,000	in planning
	Support City-wide Upgrading Process in 20 Small and Medium Cities*	ACVN	524,900	in processing
	Scaling Up Upgrading in Additional Small and Medium Towns*	ACVN	775,100	in planning
	Community Based City Development Strategies	UN Habitat	500,000	in planning
	Consolidating Community Fund through ACVN (p125606)	ACVN	70,855	in completion
	National Urbanisation Review (p122324)	World Bank	250,000	in completion
<b>GHANA</b>	Support to Government of Ghana to Development a National Urban Agenda (p129724)	GIZ	755,895	in disbursement
	Municipal Leadership Training Programme for Greater Accra (p129726)	ILGS	414,160	in disbursement
	Greater Accra Sanitation Dialogue (p119063)	World Bank	15,000	in completion
	Greater Accra Integrated Environmental Sanitation Strategy	World Bank	450,000	in disbursement
	Building the Capacity of the Urban Poor for Inclusive Urban Development – Phase 1 (p129329)	SDI/People's Dialogue	250,000	in disbursement
	Building the Capacity of the Urban Poor for Inclusive Urban Development – Phase 2	SDI/People's Dialogue	250,000	in planning
	Community Upgrading Funds*	tbd	1,000,000	in planning
	Awareness and Advocacy – Urban Talk Radio (p125956)	World Bank	200,000	in disbursement
	"Urban Backup" Initiative in Secondary Cities	ILGS	330,000	in disbursement
<b>MOZAMBIQUE</b>	Upgrading the Chamanulo C Settlement of Maputo (p127820)	Maputo Municipality	575,000	in disbursement
	Building Capacity at Municipal Level	World Bank	500,000	in planning
	Promotion of National Urban Agenda and Inclusion of Civil Society	GIZ	500,000	in planning
	Nampula Slum Upgrading Strategy (p115734)	UN Habitat	353,000	in disbursement

	State of Cities Report	ACC/ ANAMM	300,000	in planning
<b>BURKINA FASO</b>	Program Setup (p130976)	UN Habitat	75,000	in disbursement
	Urban Dvt Support (TF099786)	World Bank	28,000	in completion
	National Forum and Policy	tbd	1,000,000	in planning
	Urban Database and Observatory	tbd	300,000	in planning
	Support to Organisations of the Urban Poor*	tbd	1,500,000	in planning
* includes Community Fund(s) for Slum Upgrading				
Total value of grants equals US\$15,557,910.				

Stage	Description
in planning	<ul style="list-style-type: none"> <li>Engagement with stakeholders (incl. potential grantees and beneficiaries)</li> <li>Targeted dissemination of relevant global knowledge products</li> </ul>
in processing	<ul style="list-style-type: none"> <li>Technical input to the proposal (incl. from CA members)</li> <li>Integrity due diligence of the partners and stakeholders</li> <li>Risk assessment (e.g. resettlement, pollution, default/negligence)</li> <li>Proposal documentation using the World Bank processes</li> </ul>
in disbursement	<ul style="list-style-type: none"> <li>Approved and in supervision</li> <li>Milestone-based tranche disbursement</li> </ul>
in completion	<ul style="list-style-type: none"> <li>Acceptance of deliverables</li> <li>Operational and financial closure (incl. M&amp;E)</li> <li>Post-completion monitoring (if needed)</li> </ul>
closed	<ul style="list-style-type: none"> <li>Lessons documented and closure procedures exhausted</li> <li>Project documentation archived</li> </ul>

#### Abbreviations:

ACC	African Centre for Cities, University of Cape Town
ACVN	Association of Cities of Vietnam
AMCC	Academy of Managers in Construction and Cities
ANAMM	National Association of Municipalities of Mozambique = Associação Nacional dos Municípios de Moçambique
BMGF	Bill and Melinda Gates Foundation
ICMA	International City/County Managers' Association
ILGS	Institute of Local Government Studies
KNUST	Kwame Nkrumah University of Science and Technology
MOC	Ministry of Construction, Government of Vietnam
MoLHUD	Ministry of Lands, Housing and Urban Development, Government of Uganda
TSUPU	Transforming Settlements of the Urban Poor of Uganda