



Cape Coast, Ghana.

## CITIES ALLIANCE FINANCIALS

### FINANCIAL SUMMARY

(In US\$ millions as of 30 June 2012)

	FY07	FY08	FY09	FY10	FY11	FY12	FY11-FY12 % Change
<b>Contributions Paid In:</b>							
<b>Core</b>	7.782	10.158	7.001	7.101	7.075	7.461	
<i>Contribution Adjustment *</i>						-0.302	1%
<b>Facilities*</b>	4.565	1.355	16.844	2	0	-0.070	0%
<b>Other Non-Core</b>	3.244	4.404	0.788	1.955	1.274	0.108	
<i>Contribution Adjustment **</i>						-0.300	-115%
<b>Total Paid In</b>	<b>15.591</b>	<b>15.917</b>	<b>24.633</b>	<b>11.056</b>	<b>8.348</b>	<b>6.897</b>	<b>-17%</b>
<b>Disbursements:</b>							
<b>Core</b>	8.264	7.145	7.451	8.503	8.023	8.069	1%
<b>Facilities***</b>	1.559	3.583	3.417	3.266	0.929	1.741	87%
<b>Other Non-Core</b>	2.888	4.25	0.87	2.954	1.921	1.542	-20%
<b>Total Disbursements</b>	<b>12.711</b>	<b>14.978</b>	<b>11.738</b>	<b>14.723</b>	<b>10.873</b>	<b>11.352</b>	<b>4%</b>
<b>Approved Funding Allocations:</b>							
<b>Core</b>	4.641	7.662	8.983	10.746	8.604	6.482	-25%
<b>Facilities***</b>	4.392	0.392	1.515	3.274	2.047	1.945	-5%
<b>Other Non-Core</b>	0.626	1.578	0.475	2	1.681	0.459	-73%
<b>Total Allocations</b>	<b>9.659</b>	<b>9.633</b>	<b>10.973</b>	<b>16.02</b>	<b>12.332</b>	<b>8.886</b>	<b>-28%</b>

\* Core Contribution Adjustments include ADB (US\$295,251), DFID (US\$6,675)

\*\* Facilities and Non-Core Contribution Adjustments include relocations from Non-Core to Core TFs: USAID (US\$69,503), SIDA (US\$71,526), ITALY (EUR 180,000 or US\$228,798)

Refund of Netherlands' contribution balance, booked under Core TF cash balance but not processed as of 06/30/2012 (US\$1,664,600)

\*\*\* Facilities: Africa Facility; Land Services & Citizenship (Gates); and three closed facilities: Community-Led Infrastructure Finance Facility (CLIFF), Slum Upgrading Facility (SUF); and Community W&S Facility.

Excludes In-kind contributions for staff secondments to Secretariat.

**TABLE 1: CITIES ALLIANCE: SOURCES & USES OF FUNDS**  
(US\$ as of 30 June 2012)

CORE FUND			NON-CORE FUNDS	
Cumulative	FY12		FY12	Cumulative
80,635,957	7,461,130	Contributions Received	108,838	74,394,602
*	(1,966,526)	Contribution Adjustments	(369,827)	**
0	0	In-Kind Contributions	477,094	9,603,579
5,836,495	104,465	Investment Income	81,178	922,969
<b>86,472,452</b>	<b>5,599,069</b>	<b>Total Sources of Funds</b>	<b>297,283</b>	<b>84,921,150</b>
(72,683,035)	(8,068,649)	Project Disbursements	(3,282,443)	(57,423,475)
0	0	In-Kind Disbursements	(477,094)	(9,603,579)
(1,146,182)	(83,646)	Trust Fund Administration Fees	(1,633)	(1,522,976)
32,001	0	Foreign Exchange Gain (Loss)	(70,330)	239,899
<b>(73,797,216)</b>	<b>(8,152,295)</b>	<b>Total Uses of Funds</b>	<b>(3,831,500)</b>	<b>(68,310,131)</b>
<b>12,675,236</b>		<b>Fund Balance US\$</b>		<b>16,611,019</b>
(9,727,223)		Less: Undisbursed Allocations		(8,269,221)
<b>2,948,013</b>		<b>Funds Available for Allocation</b>		<b>8,341,798</b>

\* Core Contribution Adjustments include refund of contribution balance to ADB (\$295,251), refund of contribution balance to DFID (US\$6,675), and a refund of Netherlands' contribution balance, booked under Core TF cash balance but not processed as of 06/30/2012 (US\$1,664,600)

\*\* Non-Core Contribution Adjustments reflect relocations of donor contributions to the Core TFs and include transfer of the balance of SIDA allocations for CLIFF, SUF & secondment position (US\$71,526), transfer of EUR 180,000 from Italy's contribution for Bahia, Brazil project (US\$228,798), and transfer of unused USAID allocation for Sangli Toilet Construction Project (US\$69,503)

**TABLE 2: FINANCIAL CONTRIBUTIONS**  
(US\$ as of 30 June 2012)

Donor	Pledges	Duration	FY12	Cumulative (US\$ equivalent)
<b>Core funding</b>				
ADB	954,749	2002-2003, 2005-2007	(295,251)	928,066
Australia	5,382,750	2008-2013	1,926,800	3,048,605
Brazil	750,000	2004-2014		600,000
Canada	1,500,000	2000-2001, 2003-2006		1,585,293
Chile	150,000	2008-2011		150,000
Ethiopia	250,000	2006-2016		249,920
European Commission	1,000,000	2008-2010		1,035,094
France	2,776,023	2000, 2002-2014	100,000	2,781,503
Germany	4,190,000	2000-2013	335,900	3,788,552
Habitat for Humanity	100,000	2010-2011	49,980	99,980
Italy	5,550,000	2000-2011	228,798	5,640,263
Japan	1,750,000	2000-2005		1,750,000
Netherlands	3,500,000	2000-2004, 2006-2008		3,499,940
Nigeria	100,000	2005, 2012		50,000
Norway	10,205,000	2000-2012	1,629,966	10,270,235
Philippines	250,000	2008-2011	100,000	150,000
Shack Dweller Int'l	250,000	2000-2012	50,000	250,000
South Africa	2,250,000	2006-2015		2,321,400
Spain	500,000	2008-2010		250,000
Sweden	6,125,000	2000-2012	470,183	5,935,168
UNEP	1,200,000	2003-2009		1,199,987
UN-HABITAT	800,000	2004-2011	400,000	800,000
United Kingdom	7,100,000	2000-2007	(6,675)	7,527,015
United States	3,498,880	2000-2012	69,503	3,568,383
World Bank	27,600,000	2002-2013	2,100,000	24,821,152
<b>Total core</b>	<b>87,732,402</b>		<b>7,159,204</b>	<b>82,300,557</b>
<b>Non-core funding</b>				
Canada	75,000	2004	0	73,608
France	357,209	2008-2009	0	357,209
Gates Foundation	15,000,000	2009-2015	0	14,999,980
Italy	15,798,541	2001-2011	(228,798)	15,569,743
Japan	4,250,000	2000-2005	0	4,250,000
Netherlands	350,000	2003-2004	0	350,000
Norway	3,200,000	2002-2007	0	3,272,992
Sweden	9,900,974	2003-2012	37,312	9,900,974
UNEP	90,000	2007	0	15,000
United Kingdom	24,130,650	2001-2011		24,130,650
United States	843,947	2003-2008	(69,503)	774,444
World Bank	700,000	2001	0	700,000
<b>Total non-core</b>	<b>74,696,321</b>		<b>(260,989)</b>	<b>74,394,600</b>

**TABLE 3: APPROVED FY12 FUNDING ALLOCATIONS — SUMMARY**  
(US\$ as of 30 June 2012)

	Non-Core	Core
<b>Country/Regional Project Allocations</b>		
Projects supporting implementation of policies and strategies	–	–
Projects supporting elaboration of policies and strategies	–	–
Projects providing country-specific data and knowledge	–	–
<b>Sub-Total</b>	–	–
<b>Catalytic Fund (New Grant Facility)</b>		
Catalytic Fund		1,210,000
Kitty Fund		125,000
<b>Sub-Total</b>	–	<b>1,335,000</b>
<b>Country Programme Allocations</b>		
Land, Services & Citizenship Programme	1,944,955	75,000
Other Country Programming Support	351,700	796,850
Country Partnership Programmes		624,000
<b>Sub-Total</b>	<b>2,296,655</b>	<b>1,495,850</b>
<b>Global Knowledge &amp; Advocacy Activities</b>		
City Development Strategies	–	200,000
Environment/ Climate Change/ Disaster Risk Reduction	–	–
Communications & Advocacy	–	300,000
Other Learning & Knowledge Sharing	–	100,000
Slum Upgrading		126,500
Finance		100,000
Monitoring & Evaluation		75,000
<b>Sub-Total</b>	–	<b>901,500</b>
<b>Partnership Allocations</b>		
Management, Finance & Administration	–	1,600,000
Technical, Knowledge, Communications and M&E*	107,204	935,000
Governance & Administration	–	215,000
<b>Sub-Total</b>	<b>107,204</b>	<b>2,750,000</b>
<b>Total Approved Funding Allocations</b>	<b>2,403,859</b>	<b>6,482,350</b>

\* Includes In-Kind contribution of staff secondments from Germany, France, and through the World Bank Junior Professional Officer programme from Italy

**TABLE 4: APPROVED FY12 FUNDING ALLOCATIONS — DETAILED**  
(US\$ as of 30 June 2012)

Country	Activity Title	Allocation Amount
<b>Global Programme Operations</b>		
<b>Catalytic Fund</b> (New grant facility)		
<b>Kitty Fund</b>		
Asia regional	Institutionalising urban reforms in India by integrating the Indo-FIRE(D) experience into the regional training network: knowledge	50,000
Global	Catalytic Fund administration and peer-to-peer learning support	75,000
<b>Catalytic Fund</b>		
Cameroon	Urban Development Strategy of Yaounde and its metropolitan areas of Yaounde-CDS	240,000
Chile	Strategic Plan of the Southern Association of Municipalities of Santiago of Chile: Processing, territorial approach and citizen participation	250,000
Ethiopia	Housing Sector Development: Making Shelter Assets Work	250,000
Sierra Leone	Promoting affordable and sustainable sanitation services in Freetown	0
Sub-Saharan Africa region	Tenure Security Facility Southern Africa: the provision of technical assistance and advisory services on incrementally securing tenure in slum upgrading	250,000
Sri Lanka	Promoting Climate Resilient City Development and Settlements Upgrading Strategies for Sri Lankan Cities	0
Uzbekistan	Sustainable Development Strategy for the Historic Cities of Bukhara, Samarkand and Nukus	220,000
<b>Global knowledge and advocacy activities</b>		
<b>Theme: Slum Upgrading</b>		
Africa region	Development and Adaptation of Quick Guides for Policy Makers on Housing the Poor in African Cities - Dissemination and Training phase	126,500
<b>Theme: Strategic City Development</b>		
Global	City Future: Manage and apply practical knowledge (urban planning) of and for cities	200,000
<b>Theme: Environment/ Climate Change/ Disaster Risk Reduction</b>		
<b>Theme: Finance</b>		
Africa region	Financing of African Cities - Phase II (Marketing & Dissemination)	100,000
<b>Other Learning &amp; Knowledge Sharing</b>		
Global	General Learning & Knowledge Sharing (FY12 increase)	100,000
<b>Theme: Communications &amp; Advocacy</b>		
Global	The Governance of basic local public services - 3rd Global Report on Decentralization and Local Democracy (GOLD III)	50,000
Global	Advocacy campaign: Cities Contributing to the future we want towards Habitat II	200,000
Global	General Communications & Advocacy (FY12 increase)	50,000
<b>Theme: Monitoring &amp; Evaluation</b>		
Global	Development and Implementation of Cities Alliance M&E system	75,000

TABLE 4 (Continued)

Country	Activity Title	Allocation Amount
<b>Country / Regional Programming Allocations</b>		
<b>Land, Services &amp; Citizenship Programme</b>		
Burkina Faso	Formulation of the Burkina Faso Country Programme	75,000
Ghana	Ghana LSC: Building the Capacity of the Urban Poor for Inclusive Urban Development in Ghana Phase I	250,000
Ghana	Ghana LSC: Support to Develop a National Urban Agenda Focused on Inclusive Urban Development Policies and Strategies	755,895
Ghana	Ghana LSC: Sustainable Urban Local Government Capacity Building in Ghana: An Enabling Platform for Effective Governance and Enhancement of Service Delivery	414,160
Uganda	City development strategies for Uganda's secondary cities	0
Vietnam	Support city-wide upgrading process in 20 small and medium cities in Vietnam	524,900
<b>Country Partnership Programmes</b>		
Brazil	Technical Assistance to structure the National Monitoring System for Urban Settlement	74,000
Brazil	Development of a methodology for the customisation and implementation of a Social Housing Information System in Brazilian municipalities based in the experience of the municipality of São Paulo	75,000
Brazil	Technical Assistance to the Ministry of Cities in formulating an Involuntary Resettlement Policy and Guidelines to improve social sustainability in urban development and infrastructure projects	150,000
Brazil	Brazil / LAC Support Office (increase)	75,000
Philippines	Developing A Knowledge Management and Exchange System for City Managers	250,000
<b>Other Country / Regional Programming &amp; Support</b>		
Africa Region	Promoting City Enabling Environments in Africa (UCLGA JWP)	75,000
Africa Region	Creating enabling environments for sustainable urbanisation (UCLGA JWP)	244,000
Africa Region	Urban Planning Education and Applied Research in Sub-Saharan Africa	130,000
Ethiopia	Ethiopian State of the Cities Report - Good Governance for Growth & Transformation	351,700
Ghana	Preparation for the State of Ghanaian Cities Project 2012	72,850
Tunisia	MENA JWP: Tunisia Urbanization Review	200,000
Middle East & North Africa (MENA) Region	Development and support for MENA regional programme	75,000
<b>Partnership Operations</b>		
<b>Management, finance and administrative operations</b>		
	Secretariat - Management, finance communication and administrative operations	1,600,000
<b>Technical, knowledge, communications and M&amp;E operations</b>		
	Secretariat - Technical, knowledge, and M&E operations	935,000
	Regional Advisors, East & Southern Africa - increase (Sweden Non-Core)	107,204
<b>Governance and Administration</b>		
	Consultative Group/ EXCO Meetings	185,000
	WUF/ Africities / Urban Forum / Others	30,000

## THE CITIES ALLIANCE TEAM

### SECRETARIAT STAFF

Akporji, Chii	Communications Officer <i>(on external assignment in Nigeria)</i>
Allou, Serge	Senior Urban Specialist
Balachandran, Madhavan	Financial Management Specialist
Baskin, Julian	Senior Urban Specialist
Bunch, Juliet	Web Editor
Cobbett, William	Manager
Gaspar, Rodolfo Jr.	Information Assistant
Henderson, Susanna	Senior Programme Assistant
Hohmann, René Peter	Urban Specialist
Khalayim, Oleh	Programme Officer
Kibui, Phyllis	Operations Officer
Milroy, Kevin	Senior Operations Officer and Deputy Programme Manager
Mushtatenko, Oksana	Resource Management Analyst
Puspa, Erika	Information Analyst
Revutchi, Viorica	Programme Assistant
Shah, Phoram	Consultant
Silva, Federico	Programme Officer
Tuteja, Neelam	Programme Assistant
Zeman, Andrea	Urban Specialist

### REGIONAL STAFF

Bertolassi, Regianne	Programme Assistant	São Paulo, Brazil
Diagne, Mamadou	Senior Programme Officer	Abidjan, Côte d'Ivoire
Hosken, Adele	Knowledge Management Analyst	Pretoria, South Africa
José, Mariana Kara	Research Analyst	São Paulo, Brazil
Suri, D. Ajay	Senior Programme Officer	New Delhi, India



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**Cities Alliance**  
Cities Without Slums